

**Austin Peay State University**  
**Faculty Senate**  
**Meeting of Thursday, November 17, 2022**  
**Morgan University Center, Room 307 | 3 pm**  
**Minutes**

**Call to Order:** Senate President Perry Scanlan

**Recognition of Guests:** Senior Vice Provost and Associate Vice President of Academic Affairs, Tucker Brown, Provost Maria Cronley, Fonda Fields, Dr. Uma Iyer, Dr. Eleanor Jator, and President Mike Licari

**Roll Call of Senators:** Senate Secretary Gina Garber

**Absent Senators:** Lisa Barron, Rachel Bush, Kakali Chakrabarti, McLean Fahnestock, David Rands, and Marcia Schilling

**Approval of Today's Agenda: motion made, seconded, and passed to approve the agenda**

**Approval of Minutes from October 27, 2022 Meeting: motion made, seconded, and passed to approve the minutes for October 27, 2022**

**Remarks:**

1. Senate President Dr. Perry Scanlan (5 minutes)

Senate President Scanlan provided a poignant address to the faculty. He said the following:

As we approach Thanksgiving and the holiday season, it is important to be thankful for the amazing opportunities that Austin Peay State University affords us as faculty members. Few jobs allow us to exercise our creativity and knowledge in ways that benefit so many. Education is truly the gift that keeps on giving. There are many that deserve our thanks this year. Our administration provides leadership and decision making to help the university make decisions on a global scale. Often, some of this work goes unnoticed or only noticed when there is disagreement. Rest assured they are also working hard to help us navigate a complicated time in our history. Our staff provide so many areas of support to all faculty. Without staff, students would not be admitted, not enrolled, not graduated, and not educated. Schedules and meetings would falter, food would not be provided, employees not paid, bathrooms not cleaned, and trash not removed. Our faculty also provide so much to this university, and since this is Faculty Senate, I want to focus on some of the opportunities faculty provide.

Austin Peay State University has a wide array of so many activities. This includes art gallery pieces and talks, theater shows, student choreography showcases, concerts, research presentations and poster sessions, grassland preservation initiatives, unique experiences such as our corpse flower viewing, local history and cultural events, international talks on geopolitical politics and philosophy, global issues, and so much more. We also are a hub for service activities both in our scholarly work and for the public good. Some examples of this are our programs in psychological science and counseling, speech language pathology, social work, nursing and allied health sciences that provide services to improve community health and resources. Austin Peay State

University provides a variety of educational resources to the campus and local community through our radio and television studios, language departments whether that's improving our technical writing, appreciating a great literary work, or simply reading or writing for pleasure. Our world language programs (perhaps the word *foreign* should be rethought) can bridge gaps in business and culture by helping improve communication in local and international business. By the way, if you haven't heard the College of Business, Leadership, and Health Care Management programs are building some of the best business leaders in Tennessee, they help us understand practical skills needed in marketing, accounting, and management. Our science programs are providing the building blocks for research and innovation whether that is new materials in chemistry, engineering in physics, or cellular and genetic research, or improving diagnostic imaging and testing. There are still more areas to be thankful for, our educators that spend their time tirelessly helping us learn how to learn. Training tomorrow's educators to be more effective in their work of educating K-12, our graduate level teachers, and in our educational scholars. Library services provides resources in electronic, digital, and paper forms that allow all of us access to the scholarly information, and support resources needed at our great university.

When you think about all these things our university offers how can we not see how important all of us are to this community and to each other. This does not mean there isn't more work and action needed to embrace those that do not always feel the love. The poor, the hungry, the tired, the unwelcomed, the persecuted, and those not included by society. For many this means they do not have a family or place to call home this holiday season because of issues related to race, ethnicity, gender, sexual orientation, religion, disability, and many more issues that I have only just begun to understand or try to describe. We still have a lot of work to do to address these issues in meaningful ways. I challenge everyone to be the light in the world that we want to see this holiday season. Help people feel appreciated, loved, supported, and seen. Try to start somewhere no matter how awkward it may seem because getting through that awkwardness is what helps us understand one another. Feed their bellies, but also feed their soul. That kind word or action can make a world of difference for our colleagues and our students. This holiday season celebrate the successes, work on smoothing the rough patches, and keep making a difference in helping our community see the light.

**Bread & Words:** APSU has a pre-holiday tradition over bread, soup, and words on behalf of those who are food insecure on our campus. This is a free event to attend, but they are collecting donations that benefit the campus S.O.S. Food Pantry. Dr. Mickey Wadia will provide music and after dinner, we will listen to creative works by the following professor and creative writing students: Dr. RS Deeren, Curry LeBlanc, Olivia Melton, Penny McEntee, and Etenia Mullins.

2. University President, Dr. Mike Licari (7 minutes)

**Proposed Budget Recommendations:** University President Licari provided a brief update on the state budget process [[Appendix A - THEC 2021-24 Operating State Appropriation Recommendations](#)]. The outcomes-based funding formula utilizes a three-year average to determine growth in outcomes. This year's formula incorporates the changes approved by the Commission in May 2022, including: adoption of a new high-need fields focus population premium for undergraduate awards; recognition of out-of-state students in the low-income focus population premium; reduction of the influence of fixed costs; and a refined workforce training measure. This performance formula has historically benefitted APSU, so we have done well in this area. This continues for us for 2023-2024 where the proposed State Appropriations Distribution Recommendation is a 13.7% increase over last year and the largest percentage in the state. The formula is

complicated. President Licari also reported that THEC is recommending tuition and fee increases of 0 to 3 percent for in-state undergraduate students at the academic formula units; however, the state is trying to hold the tuition at zero (0) so there is not an increase. Keeping tuition steady means that institutions need more funding from the state.

2023-2024 Capital Projects Recommendations: President Licari reported that APSU resubmitted the APSU Health Profession Building for inflation increases and requested another 35 million dollars. MTSU also resubmitted a project for the same reason. There was a total of nine (9) projects that were submitted this year [[Appendix B – 2023-2024 Capital Projects Recommendations](#)].

**Motion to extend time by 2 minutes made, seconded, and passed to allow President Licari time to answer questions**

Questions:

Q: Will APSU break ground next fiscal year for the Health Profession Building?

A: The plan is to break ground during Fall 2024.

Q: Is 35 million the actual cost for the building?

A: No, we were budgeted for 72 million and then needed to request an additional 35 million.

Q: Are there any plans to add parking on campus?

A: No. There is no plan to add parking. APSU has plenty of parking, but maybe not all in the most convenient locations.

3. University Provost, Dr. Maria Cronley (7 minutes)

Provost Cronley said the outcomes-based funding formula is based on student progression funding. We scored 87 out of 100 which is how we did last year. We lost a couple of points in equity population retention, but gained points in accreditation and program reviews, which made our numbers even with last year's report.

Enrollment is down overall 3% from last year's headcount. New freshmen are up over 2%, and we have a new international student record all-time high. Austin Peay hosted over 540 students during the two big fall preview days and have provided campus tours to 1,205 students. We are providing group tours for students who are coming to campus from Nashville to Memphis. Our new admissions officer in Alabama has visited 56 schools that we have not yet been in. This is very good for APSU in getting prospective student on campus.

Meeting with Departments: Provost Cronley is making rounds to meet with departments. So far, she is having good and fruitful conversations and have been able to take that information to the stakeholders. She is receiving many IT and Registrar questions during these meetings.

In closing, Provost Cronley echoed Senate President Scanlan's opening remarks, and said that she is thankful for the ability to work with all of you. She said she is going to the Breads & Words program.

#### 4. Reports from University Committees

- a. University Curriculum Committee (UCC) Report, UCC Representative, Dr. Kristen Butler (5 minutes)  
UCC Representative Dr. Butler provided an abbreviated curriculum report [[Appendix C](#)]. There were numerous course deletions, course title updates, and course description updates, especially in the area of Engineering Technology.

#### 5. Diversity Equity and Inclusion Highlights (10 minutes)

The Director of Human Resources, Fonda Fields, provided information on some DEI events. The first one is scheduled for December 6, 2022. This is a game for up to 200 participants to play in a virtual escape room. Faculty is invited and prizes will be awarded. The second event is scheduled for January 16, 2023. This is a breakfast in the Club Level Stadium area. Dr. Jerome Morris, a graduate of APSU, will be speaking.

Senate President Scanlan encourages the Senators to share this information with their constituents and departments.

#### **Old Business:**

1. Trustee Election Results - Faculty Senate President, Dr. Perry Scanlan (5 minutes)  
Senate President Scanlan presented the election results and congratulated Professor Jane Semler as the next faculty trustee to serve on the APSU Board of Trustees.
2. Interim Faculty Senate Election Results - Faculty Senate Vice President/President Elect, Dr. Soma Banerjee (5 minutes)  
Dr. Banerjee reported on the fall interim election and shared the following results:
  - CoBHS: Terri Clark, Megan Kienzle, and Shani Collins-Woods
  - CoAL: Deonte Warren, Christina Hicks-Goldston, and Mike Dunn

#### **New Business:**

3. Conflict of Interest Ad-Hoc Committee – Dr. Eleanor Jator (Action Item – 10 minutes)  
Dr. Jator presented changes to Policy 1:001 Conflict of Interest [[Appendix D](#)]. The changes reflect the conflict of interest when a faculty member is faced with teaching their immediate family members in the classroom. The policy makes it clear that the faculty member must disclose this information within ten (10) days to their direct supervisor and the conflict committee chair. There are two options faculty can choose for grading.
  - Option 1: Have another faculty member with similar expertise grade the exams and assignments using course rubrics, or
  - Option 2: The faculty member grades the exams and assignments, but another faculty member will review the grading.

Questions:

Q: When will the instructor need to let someone know? Is it a fair critique if the instructor doesn't know if the class will make?

A: There is a ten (10) day time period build into the policy. The instructor should err on the side of disclosure.

Comment: Instructors should notify their direct supervisor with this information immediately. There is a ten (10) business day window to disclose that one has a family member in their class.

Q: Did you say you have to submit a grade plan?

A: If you were the only instructor who knows this content, you would be required to submit a grading rubric.

Q: Is the second option needed? Can we just say the professor will always grade the work?

A: This is harder for essay questions. Multiple choice questions are easy. There must be guidance for the reviewer. The two options are if you are the only subject expert and if you are not the only subject expert. The goal here is to mitigate the conflict. If you follow one of the two options, the conflict is resolved.

Q: Are we just talking about papers/essays more than exams?

**Motion to extend time by 5 minutes made, seconded, and passed to allow Dr. Jator time to answer questions**

A: This covers all assignments and exams.

Comment: The instructor of record should always grade the papers. There should be no other choices.

A: What would be the issue if you picked option 1?

Comment: If I'm grading 25 of the 26 student assignments, that one student's assignment is being graded differently than the others. I would rather have someone overseeing me grade this student.

Comment: The difficulty comes in when we address the specialization of the faculty member. A smaller department like ours can make it very difficult.

**Motion to extend time by an additional 5 minutes made, seconded, and passed to allow Dr. Jator time to answer questions**

Comments: I don't have a problem having two options. I think I would rather have someone else grade my child's exam. I would probably be harsher on my child. I would support removing "experts" or take out "subject experts."

**Motion was made, seconded, and passed to remove *If the instructor of record is the only available expert in* text and capitalize the letter "t" in "The" to begin the second option on page 10, Section VII Special Disclosure Requirement for Instructor of Record, number 1, paragraph ii.**

**Motion was made, seconded, and passed unanimously to accept the changes to Policy 1:001 Conflict of Interest with the proposed changes**

4. Language Edits for the RTP P&G Document from the Faculty RTP Policy Committee – Dr. Uma Iyer (Information Item – 10 minutes)

Dr. Iyer greeted the faculty and presented the proposed language for the RTP P & G Document. She informed everyone how documents are tracked:

- RED = deleted text with a strikethrough the letters
- BLUE = new language
- GREEN = language that has been move from another area within the document

Dr. Iyer said the actions in the document were already approved, but the Committee is codifying the documents with language. She reminded the senators that the document has been posted for their review in advance. Items that are in our contracts are legal. There was a proposal made, that would include adding the exact years that a faculty member can use to contribute their scholarly and creative works to Area 2, instead of using the word, “preferred.”

**Motion to extend time by 5 minutes made, seconded, and passed to allow Dr. Iyer to answer questions**

Comment: We just remove the loosey-goosey words and tighten up the space.

Comment: We really need to have the years in the faculty member’s contract.

Comment: I agree, this is a great point.

**Motion to extend time by 5 minutes made, seconded, and passed to allow Dr. Iyer additional time to answer questions**

Comment: The Faculty RTP Committee can ask for changes and request that this information be added to the contract.

Comment: The word, “Note” was added to break up the text.

Comment: The area about the Appeals Board being able to add a document was included to the P & G. We moved the process of what happens when a tie vote occurs. There were a few other errors such as links that needed to be updated and policies that are no longer available.

**Adjourn: 4:21**



## TENNESSEE HIGHER EDUCATION COMMISSION

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### REGULAR CALENDAR ITEM: II.

**MEETING DATE:** November 3, 2022

**SUBJECT:** 2023-24 Operating State Appropriation Recommendations

**ITEM TYPE:** Action

**ACTION RECOMMENDATION:** Approval

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### BACKGROUND

The Strategic Financial Plan, released by the Tennessee Higher Education Commission (THEC) in 2020, sets a goal to make Tennessee the most affordable state in the South for higher education. In alignment with this goal, the operating appropriation recommendations are informed by increased productivity in the outcomes-based funding formula, quality assurance funding points, inflationary factors, and changes to fixed costs. The resulting recurring appropriation increase presented below works in partnership with the recommended guiding tuition and fee range to prioritize student affordability across the universities, community colleges, and colleges of applied technology.

Per the Complete College Tennessee Act, the Tennessee Higher Education Commission (THEC) makes state appropriation recommendations in a lump-sum fashion for the community college sector. Outcomes-based formula calculations for individual community colleges are presented for informational purposes.

### FORMULA UNIT RECOMMENDATION

For the 2023-24 fiscal year, THEC staff recommend a recurring increase of \$150 million in state appropriations, recognizing the growth in outcomes productivity for universities, community colleges, and colleges of applied technology and centering a recommended tuition and fee increase of 0 to 3 percent for in-state undergraduate students at the academic formula units. Of this recommended funding increase, \$35 million fully funds the growth in outcomes at the community colleges and universities as well as growth in enrollment at the colleges of applied technology. The remaining \$115 million represents a state investment in maintaining affordability for Tennessee students in the face of continued unprecedented cost increases.

The outcomes-based funding formula utilizes a three-year average to determine growth in outcomes. The new three-year average in the 2023-24 formula includes outcomes produced in 2019-20, 2020-21, and 2021-22. This year's formula incorporates the changes approved by the Commission in May 2022, including: adoption of a new high-need fields focus population premium for undergraduate awards; recognition of out-of-state students in the low-income focus population premium; reduction of the influence of fixed costs; and a refined workforce training measure.

Institutions continue to show improvement in funding formula outcomes when comparing 2021-22—the most recent year of data used for the 2023-24 formula request—to 2018-19—the data year dropped off with the movement to the new three-year average. Community colleges produced more associate degrees in 2021-22 than in 2018-19, even despite double-digit enrollment declines due to the pandemic and a booming

economy. At the universities, graduate degree outcomes increased 13 percent from 2018-19 to 2021-22 while graduation rates increased 1.5 percentage points over that same period.

## **SPECIALIZED UNITS RECOMMENDATION**

Along with the academic formula unit recommendation, staff recommend a funding increase of \$22.8 million recurring for the specialized units—which include the medical colleges, agriculture research units, public service entities and non-THEC related statewide program initiatives. Funding increases for these units reflect annual growth in several cost drivers. These drivers often exceed general inflation and include increases in costs due to maintenance, salaries and benefits, information technology systems, laboratory supplies, and scientific equipment. For example, Tennessee’s medical unit costs are driven by the need to provide students with current information and techniques that require updated medical technology and journal access. Tennessee’s agricultural centers have unique cost drivers related to equipment, farming machinery, crops, and livestock.

Additionally, THEC is requesting \$8.9 million in both recurring and nonrecurring funding for the agency-led initiatives presented to the Commission in July. The recurring requests include \$925,000 to sustain and expand Advise TN, \$800,000 to increase Navigate Reconnect capacity, \$625,000 to reinstate the HBCU Success Summer Bridge Program, and \$500,000 to sustain the TN Promise Summer Bridge Program. The agency is also requesting \$6 million in nonrecurring funds to conduct a statewide facilities condition survey.

Operating fund recommendations for the academic formula, specialized, and agency-led units are detailed in Attachment II.

## **OTHER SPECIAL INITIATIVES**

Staff also recommend \$13.8 million in recurring and nonrecurring funds for strategic program investments in several specialized units. These specialized unit strategic initiatives include \$1.0 million recurring to meet the state match for TSU’s Institute of Agricultural and Environmental Research federal land grant funding, \$275,000 recurring to ETSU Family Practice to support an Institute for Integrated Behavioral Health, and \$994,000 recurring to expand the Substance Misuse and Addiction Resource for Tennessee (SMART) Policy Network at the UT Institute for Public Service. For the Tennessee Board of Regents, staff recommend \$4.2 million recurring to fund the Student Support Network, an integrated package of reforms and investments to address issues identified in the Education Recovery and Innovation Committee report released in June 2022. Staff also recommend \$3 million recurring to modernize the learning experience portfolio at TBR institutions and \$4.4 million nonrecurring to address final safety and security needs across all TBR institutions.

Additional information on these strategic initiatives can be found in Attachment III.

## **REDUCTION SCENARIO**

Finally, the Department of Finance & Administration has requested that state agencies prepare the 2023-24 budget with a 1.0 percent reduction in state appropriations. Financial aid funding provided by TSAC and recurring funds for capital maintenance are exempt from these reduction scenarios. For higher education, a 1.0 reduction to the remaining units results in a state appropriation decline of \$19.3 million.

This distribution is detailed in Attachment IV.

## RECOMMENDATION SUMMARY

The grand total recurring recommendation for all higher education operating appropriations for 2023-24 is \$2.24 billion. This includes \$1.55 billion to fund the universities, community colleges and colleges of applied technology, \$579.7 million to fund the specialized units, and \$108.5 million for strategic program investments. These recommendations total \$195.5 million of new funds, an increase of 9.6 percent over 2022-23.

# ATTACHMENT I

## State Appropriations History

Academic Formula Units	2018-19	2019-20	2020-21	2021-22	2022-23
<b>LGI Universities</b>					
Austin Peay	\$47,857,100	\$50,503,100	\$51,097,700	\$58,069,700	\$66,773,300
East Tennessee	65,770,700	70,579,000	71,656,300	78,255,200	89,413,300
Middle Tennessee	103,216,200	107,399,400	106,483,000	112,926,200	126,537,400
Tennessee State	39,402,300	41,795,200	41,365,200	43,192,500	47,671,200
Tennessee Tech	55,020,600	59,597,500	60,428,600	64,329,000	74,695,300
University of Memphis	117,771,000	123,370,700	123,734,100	133,589,000	151,179,000
<b>Subtotal</b>	<b>\$429,037,900</b>	<b>\$453,244,900</b>	<b>\$454,764,900</b>	<b>\$490,361,600</b>	<b>\$556,269,500</b>
<b>Community Colleges<sup>1</sup></b>					
Chattanooga	\$31,863,600	\$33,669,500	\$33,084,400	\$35,357,600	\$38,480,200
Cleveland	11,215,700	12,302,400	11,937,900	12,983,800	14,638,800
Columbia	15,821,100	16,836,200	17,615,800	19,529,800	22,011,600
Dyersburg	9,734,200	10,516,000	10,717,100	11,574,200	12,900,400
Jackson	14,266,600	15,102,200	14,879,600	16,234,400	17,835,300
Motlow	15,023,000	17,565,300	19,334,000	22,731,900	25,764,300
Nashville	22,228,600	23,374,700	22,732,000	23,863,600	26,991,000
Northeast	19,695,300	21,089,900	23,013,900	24,770,700	26,952,800
Pellissippi	32,729,600	34,933,800	35,442,700	38,335,600	43,071,500
Roane	22,518,000	24,110,700	24,116,500	26,138,700	28,434,700
Southwest	28,504,100	30,253,800	29,938,100	31,503,300	34,496,100
Volunteer	23,498,200	26,331,900	27,806,100	30,892,500	34,821,200
Walters	24,606,600	25,527,400	25,474,600	27,113,400	31,017,600
<b>Subtotal</b>	<b>\$271,704,600</b>	<b>\$291,613,800</b>	<b>\$296,092,700</b>	<b>\$321,029,500</b>	<b>\$357,415,500</b>
<b>UT Universities</b>					
UT Chattanooga	\$56,184,500	\$58,905,900	\$59,510,200	\$63,908,400	\$71,977,100
UT Knoxville	234,382,200	247,059,300	247,566,300	265,574,900	303,375,800
UT Martin	34,698,100	35,748,200	34,665,400	36,668,900	41,369,400
<b>Subtotal</b>	<b>\$325,264,800</b>	<b>\$341,713,400</b>	<b>\$341,741,900</b>	<b>\$366,152,200</b>	<b>\$416,722,300</b>
<b>Total Colleges and Universities</b>	<b>\$1,026,007,300</b>	<b>\$1,086,572,100</b>	<b>\$1,092,599,500</b>	<b>\$1,177,543,300</b>	<b>\$1,330,407,300</b>
TN Colleges of Applied Technology	\$71,579,600	\$75,727,400	\$75,622,700	\$88,471,700	\$105,030,600
<b>Total Academic Formula Units</b>	<b>\$1,097,586,900</b>	<b>\$1,162,299,500</b>	<b>\$1,168,222,200</b>	<b>\$1,266,015,000</b>	<b>\$1,435,437,900</b>

Note: All years of appropriations are recurring funds only.

1 - Detail for the community colleges was estimated by THEC based on information from the Tennessee Board of Regents.

Funds are allocated to the community colleges as a system.

## ATTACHMENT I

### State Appropriations History

<b>Specialized Units</b>	<b>2018-19</b>	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>	<b>2022-23</b>
<b>Medical Education</b>					
ETSU College of Medicine	\$34,470,700	\$35,543,300	\$36,717,300	\$40,577,400	\$43,928,600
ETSU Family Practice	7,526,300	7,816,500	7,835,800	8,577,200	9,275,600
UT College of Vet Medicine	21,398,400	22,192,700	22,280,200	24,129,000	29,130,000
UT Health Science Center <sup>1</sup>	157,683,100	162,001,500	162,748,000	177,089,400	191,150,900
<b>Subtotal</b>	<b>\$221,078,500</b>	<b>\$227,554,000</b>	<b>\$229,581,300</b>	<b>\$250,373,000</b>	<b>\$273,485,100</b>
<b>Research and Public Service</b>					
UT Agricultural Experiment Station	\$30,435,300	\$31,092,900	\$31,160,800	\$32,488,900	\$33,945,100
UT Agricultural Extension Service	37,509,700	38,276,100	38,428,800	42,280,600	44,418,800
TSU McMinnville Center	619,400	1,429,200	1,429,900	1,466,200	1,506,700
TSU Institute of Ag. and Environmental Research	3,613,700	4,771,800	4,771,600	4,858,100	4,982,200
TSU Cooperative Extension	3,610,200	3,703,500	3,705,200	5,865,100	6,070,200
TSU McIntire-Stennis Forestry Research	196,200	198,900	198,900	207,800	215,400
UT Space Institute	9,129,100	9,290,800	9,301,200	9,668,700	10,101,600
UT Institute for Public Service	6,684,800	6,823,000	6,837,800	7,120,500	7,429,900
Tennessee Language Center	672,000	712,300	720,600	802,400	878,100
Institute for Public Service: Other Agencies	6,012,800	6,110,700	6,117,200	6,318,100	6,551,800
UT County Technical Assistance Service	3,103,800	3,203,900	3,221,000	3,396,000	3,625,300
UT Municipal Technical Advisory Service	3,603,800	3,713,700	3,731,200	3,970,600	4,241,500
<b>Subtotal</b>	<b>\$98,506,000</b>	<b>\$102,503,800</b>	<b>\$102,786,400</b>	<b>\$111,322,500</b>	<b>\$116,536,700</b>
<b>Other Specialized Units</b>					
UT Southern	\$0	\$0	\$0	\$5,230,000	\$5,656,700
UT University-Wide Administration	5,939,000	6,032,100	6,064,200	6,270,600	5,829,000
TN Board of Regents Administration	8,196,600	8,444,700	12,775,800	14,177,100	29,534,300
TN Student Assistance Corporation	103,703,900	116,177,100	116,195,200	116,386,300	116,688,000
Contract Education	1,832,500	2,249,900	2,249,900	2,577,000	2,577,000
TN Higher Education Commission	5,187,100	5,757,200	5,588,500	5,888,100	6,564,300
<b>Subtotal</b>	<b>\$124,859,100</b>	<b>\$138,661,000</b>	<b>\$142,873,600</b>	<b>\$150,529,100</b>	<b>\$166,849,300</b>
<b>Total Formula and Specialized Units</b>	<b>\$1,542,030,500</b>	<b>\$1,631,018,300</b>	<b>\$1,643,463,500</b>	<b>\$1,778,239,600</b>	<b>\$1,992,309,000</b>
<b>Program Initiatives</b>					
Campus Centers of Excellence	\$18,063,100	\$18,363,900	\$18,379,300	\$19,045,000	\$19,728,600
Campus Centers of Emphasis	1,314,400	1,338,100	1,340,000	1,381,700	1,431,300
Academic Scholars Program	1,211,800	1,211,800	1,211,800	1,211,800	1,211,800
UT Access and Diversity Initiative	5,806,700	5,806,700	5,806,700	5,806,700	5,806,700
TBR Access and Diversity Initiative	10,256,900	10,256,900	10,256,900	10,256,900	10,256,900
Research Initiatives - UT	5,852,900	5,852,900	5,852,900	5,852,900	5,852,900
THEC Grants	5,852,900	11,089,000	11,089,000	15,417,200	8,661,200
<b>Subtotal</b>	<b>\$48,358,700</b>	<b>\$53,919,300</b>	<b>\$53,936,600</b>	<b>\$58,972,200</b>	<b>\$52,949,400</b>
<b>Total Operating<sup>2</sup></b>	<b>\$1,590,389,200</b>	<b>\$1,684,937,600</b>	<b>\$1,697,400,100</b>	<b>\$1,837,211,800</b>	<b>\$2,045,258,400</b>

Note: All years of appropriations are recurring funds only.

1 - UT Health Science Center includes funding for UT College of Medicine, UT Family Practice, and UT Memphis.

2 - Does not include recurring capital maintenance funding.

# ATTACHMENT II

## 2023-24 State Appropriations Distribution Recommendation

	A	B	C	D	E = C + D	F = E + A	G = E / A	H = F / B
			Breakdown of 2023-24 Changes					
	2022-23 Appropriation <sup>1</sup>	2023-24 Formula Calculation	Outcomes Formula Adjustments	Share of New Funding	2023-24 Changes	2023-24 Recommendation	Percent Change	Percent Funded
<b>Academic Formula Units</b>								
<b>LGI Universities</b>								
Austin Peay	\$66,773,300	\$104,125,900	\$1,831,800	\$7,337,000	\$9,168,800	\$75,942,100	13.7%	72.9%
East Tennessee <sup>2</sup>	87,313,300	133,019,900	329,100	9,373,000	9,702,100	97,015,400	11.1%	72.9%
Middle Tennessee	126,537,400	190,415,800	(1,078,800)	13,417,300	12,338,500	138,875,900	9.8%	72.9%
Tennessee State	47,671,200	72,554,500	132,500	5,112,400	5,244,900	52,916,100	11.0%	72.9%
Tennessee Tech <sup>2</sup>	68,195,300	103,886,200	251,900	7,320,100	7,572,000	75,767,300	11.1%	72.9%
University of Memphis	151,179,000	231,461,200	1,323,100	16,309,500	17,632,600	168,811,600	11.7%	72.9%
<b>Subtotal</b>	<b>\$547,669,500</b>	<b>\$835,463,500</b>	<b>\$2,789,600</b>	<b>\$58,869,300</b>	<b>\$61,658,900</b>	<b>\$609,328,400</b>	<b>11.3%</b>	<b>72.9%</b>
<b>Community Colleges<sup>3</sup></b>								
Chattanooga	\$38,480,200	\$58,216,500	(\$123,300)	\$4,102,100	\$3,978,800	\$42,459,000	10.3%	72.9%
Cleveland	14,638,800	22,798,000	382,000	1,606,400	1,988,400	16,627,200	13.6%	72.9%
Columbia	22,011,600	32,973,200	(286,700)	2,323,400	2,036,700	24,048,300	9.3%	72.9%
Dyersburg	12,900,400	19,974,500	260,100	1,407,500	1,667,600	14,568,000	12.9%	72.9%
Jackson	17,835,300	26,908,000	(106,500)	1,896,000	1,789,500	19,624,800	10.0%	72.9%
Motlow	25,764,300	37,931,400	(772,600)	2,672,800	1,900,200	27,664,500	7.4%	72.9%
Nashville	26,991,000	40,513,200	(298,200)	2,854,700	2,556,500	29,547,500	9.5%	72.9%
Northeast	26,952,800	38,871,900	(1,341,200)	2,739,100	1,397,900	28,350,700	5.2%	72.9%
Pellissippi	43,071,500	62,837,200	(1,670,200)	4,427,700	2,757,500	45,829,000	6.4%	72.9%
Roane	28,434,700	41,636,700	(1,001,700)	2,933,800	1,932,100	30,366,800	6.8%	72.9%
Southwest	34,496,100	51,260,200	(722,500)	3,612,000	2,889,500	37,385,600	8.4%	72.9%
Volunteer	34,821,200	51,968,300	(581,000)	3,661,800	3,080,800	37,902,000	8.8%	72.9%
Walters	31,017,600	48,208,400	745,300	3,396,900	4,142,200	35,159,800	13.4%	72.9%
<b>Subtotal</b>	<b>\$357,415,500</b>	<b>\$534,097,500</b>	<b>(\$5,516,500)</b>	<b>\$37,634,200</b>	<b>\$32,117,700</b>	<b>\$389,533,200</b>	<b>9.0%</b>	<b>72.9%</b>
<b>UT Universities</b>								
UT Chattanooga	\$71,977,100	\$108,660,300	(\$384,400)	\$7,656,500	\$7,272,100	\$79,249,200	10.1%	72.9%
UT Knoxville <sup>2</sup>	296,407,600	454,835,200	3,268,200	32,049,100	35,317,300	331,724,900	11.9%	72.9%
UT Martin <sup>2</sup>	40,729,400	62,843,000	675,800	4,428,100	5,103,900	45,833,300	12.5%	72.9%
<b>Subtotal</b>	<b>\$409,114,100</b>	<b>\$626,338,500</b>	<b>\$3,559,600</b>	<b>\$44,133,700</b>	<b>\$47,693,300</b>	<b>\$456,807,400</b>	<b>11.7%</b>	<b>72.9%</b>
<b>Total Colleges and Universities</b>	<b>\$1,314,199,100</b>	<b>\$1,995,899,500</b>	<b>\$832,700</b>	<b>\$140,637,200</b>	<b>\$141,469,900</b>	<b>\$1,455,669,000</b>	<b>10.8%</b>	<b>72.9%</b>
TN Colleges of Applied Technology <sup>2</sup>	\$88,379,600	\$132,875,000	(\$832,700)	\$9,362,800	\$8,530,100	\$96,909,700	9.7%	72.9%
<b>Total Academic Formula Units</b>	<b>\$1,402,578,700</b>	<b>\$2,128,774,500</b>	<b>\$0</b>	<b>\$150,000,000</b>	<b>\$150,000,000</b>	<b>\$1,552,578,700</b>	<b>10.7%</b>	<b>72.9%</b>

1 - Recurring funding. Includes funding of \$7.4M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is for the sector as a whole. Institutional detail displayed here is for informational purposes only.

## ATTACHMENT II

### 2023-24 State Appropriations Share of Total Calculation

	A	B	C	D	E = D + A	F = D / A
Academic Formula Units	2022-23 Appropriation <sup>1</sup>	2022-23 Appropriation Share	2023-24 Appropriation Share	2023-24 Funding Changes	2023-24 Recommendation	Percent Change
<b>LGI Universities</b>						
Austin Peay	\$66,773,300	5.08%	5.22%	\$9,168,800	\$75,942,100	13.7%
East Tennessee <sup>2</sup>	87,313,300	6.64%	6.66%	9,702,100	97,015,400	11.1%
Middle Tennessee	126,537,400	9.63%	9.54%	12,338,500	138,875,900	9.8%
Tennessee State	47,671,200	3.63%	3.64%	5,244,900	52,916,100	11.0%
Tennessee Tech <sup>2</sup>	68,195,300	5.19%	5.20%	7,572,000	75,767,300	11.1%
University of Memphis	151,179,000	11.50%	11.60%	17,632,600	168,811,600	11.7%
<b>Subtotal</b>	<b>\$547,669,500</b>	<b>41.67%</b>	<b>41.86%</b>	<b>\$61,658,900</b>	<b>\$609,328,400</b>	<b>11.3%</b>
<b>Community Colleges<sup>3</sup></b>						
Chattanooga	\$38,480,200	2.93%	2.92%	\$3,978,800	\$42,459,000	10.3%
Cleveland	14,638,800	1.11%	1.14%	1,988,400	16,627,200	13.6%
Columbia	22,011,600	1.67%	1.65%	2,036,700	24,048,300	9.3%
Dyersburg	12,900,400	0.98%	1.00%	1,667,600	14,568,000	12.9%
Jackson	17,835,300	1.36%	1.35%	1,789,500	19,624,800	10.0%
Motlow	25,764,300	1.96%	1.90%	1,900,200	27,664,500	7.4%
Nashville	26,991,000	2.05%	2.03%	2,556,500	29,547,500	9.5%
Northeast	26,952,800	2.05%	1.95%	1,397,900	28,350,700	5.2%
Pellissippi	43,071,500	3.28%	3.15%	2,757,500	45,829,000	6.4%
Roane	28,434,700	2.16%	2.09%	1,932,100	30,366,800	6.8%
Southwest	34,496,100	2.62%	2.57%	2,889,500	37,385,600	8.4%
Volunteer	34,821,200	2.65%	2.60%	3,080,800	37,902,000	8.8%
Walters	31,017,600	2.36%	2.42%	4,142,200	35,159,800	13.4%
<b>Subtotal</b>	<b>\$357,415,500</b>	<b>27.20%</b>	<b>26.76%</b>	<b>\$32,117,700</b>	<b>\$389,533,200</b>	<b>9.0%</b>
<b>UT Universities</b>						
UT Chattanooga	\$71,977,100	5.48%	5.44%	\$7,272,100	\$79,249,200	10.1%
UT Knoxville <sup>2</sup>	296,407,600	22.55%	22.79%	35,317,300	331,724,900	11.9%
UT Martin <sup>2</sup>	40,729,400	3.10%	3.15%	5,103,900	45,833,300	12.5%
<b>Subtotal</b>	<b>\$409,114,100</b>	<b>31.13%</b>	<b>31.38%</b>	<b>\$47,693,300</b>	<b>\$456,807,400</b>	<b>11.7%</b>
<b>Total Colleges and Universities</b>	<b>\$1,314,199,100</b>	<b>100.00%</b>	<b>100.00%</b>	<b>\$141,469,900</b>	<b>\$1,455,669,000</b>	<b>10.8%</b>

1 - Recurring funding. Includes historical funding of \$7.0M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is for the sector as a whole. Institutional detail displayed here is for informational purposes only.

# ATTACHMENT II

## 2023-24 State Appropriations Distribution Recommendation

	A	B	C = B - A	D = C / A
	2022-23 Appropriation <sup>1</sup>	2023-24 Recommendation <sup>1</sup>	Total Change	Percent Change
Specialized Units				
<b>Medical Education</b>				
ETSU College of Medicine	\$43,928,600	\$47,637,600	\$3,709,000	8.4%
ETSU Family Practice	9,275,600	9,787,700	512,100	5.5%
UT College of Vet Medicine	29,130,000	30,461,000	1,331,000	4.6%
UT Health Science Center	191,150,900	204,216,900	13,066,000	6.8%
<b>Subtotal</b>	<b>\$273,485,100</b>	<b>\$292,103,200</b>	<b>\$18,618,100</b>	<b>6.8%</b>
<b>Research and Public Service</b>				
UT Agricultural Experiment Station	\$33,945,100	\$35,484,100	\$1,539,000	4.5%
UT Agricultural Extension Service	44,418,800	45,516,800	1,098,000	2.5%
TSU McMinnville Center	1,506,700	1,518,300	11,600	0.8%
TSU Institute of Ag. and Environmental Research	4,982,200	5,208,100	225,900	4.5%
TSU Cooperative Extension	6,070,200	6,220,300	150,100	2.5%
TSU McIntire-Stennis Forestry Research	215,400	225,200	9,800	4.5%
UT Space Institute	10,101,600	10,288,600	187,000	1.9%
UT Institute for Public Service	7,429,900	7,694,900	265,000	3.6%
Tennessee Language Center	878,100	927,100	49,000	5.6%
Institute for Public Service: Other Agencies	6,551,800	6,767,800	216,000	3.3%
UT County Technical Assistance Service	3,625,300	3,684,300	59,000	1.6%
UT Municipal Technical Advisory Service	4,241,500	4,316,500	75,000	1.8%
<b>Subtotal</b>	<b>\$116,536,700</b>	<b>\$120,157,100</b>	<b>\$3,620,400</b>	<b>3.1%</b>
<b>Other Specialized Units</b>				
UT Southern	\$5,656,700	\$6,261,700	\$605,000	10.7%
UT University-Wide Administration	5,829,000	5,829,000	-	0.0%
TN Board of Regents Administration	29,534,300	29,534,300	-	0.0%
TN Student Assistance Corporation	116,688,000	116,688,000	-	0.0%
Contract Education	2,577,000	2,577,000	-	0.0%
TN Higher Education Commission	6,564,300	6,564,300	-	0.0%
<b>Subtotal</b>	<b>\$166,849,300</b>	<b>\$167,454,300</b>	<b>\$605,000</b>	<b>0.4%</b>
<b>Total Formula and Specialized Units</b>	<b>\$1,959,449,800</b>	<b>\$2,132,293,300</b>	<b>\$172,843,500</b>	<b>8.8%</b>
<b>Program Initiatives</b>				
Campus Centers of Excellence	\$19,728,600	\$19,728,600	\$0	0.0%
Campus Centers of Emphasis	1,431,300	1,431,300	-	0.0%
Academic Scholars Program	1,211,800	1,211,800	-	0.0%
UT Access and Diversity Initiative	5,806,700	5,806,700	-	0.0%
TBR Access and Diversity Initiative	10,256,900	10,256,900	-	0.0%
Research Initiatives - UT	5,852,900	5,852,900	-	0.0%
THEC Grants	8,661,200	17,511,200	8,850,000	102.2%
THEC Grants Administration & Programming	8,661,200	8,661,200	-	0.0%
Advise TN Advisor Core Expansion	-	925,000	925,000	NA
Navigate Reconnect Capacity	-	800,000	800,000	NA
HBCU Success Summer Bridge Program	-	625,000	625,000	NA
TN Promise Summer Bridge Program	-	500,000	500,000	NA
Facilities Condition Survey <sup>2</sup>	-	6,000,000	6,000,000	NA
Specialized Units Strategic Initiatives <sup>3</sup>	-	2,269,000	2,269,000	NA
Statewide System Priorities <sup>3</sup>	-	11,550,000	11,550,000	NA
ETSU Special Legislative Initiatives <sup>4</sup>	2,100,000	2,100,000	-	0.0%
TTU Special Legislative Initiatives <sup>4</sup>	6,500,000	6,500,000	-	0.0%
UT Knoxville Special Legislative Initiatives <sup>4</sup>	6,968,200	6,968,200	-	0.0%
UT Martin Special Legislative Initiatives <sup>4</sup>	640,000	640,000	-	0.0%
TCAT Special Legislative Initiatives <sup>4</sup>	16,651,000	16,651,000	-	0.0%
<b>Subtotal</b>	<b>\$85,808,600</b>	<b>\$108,477,600</b>	<b>\$22,669,000</b>	<b>26.4%</b>
<b>Total</b>	<b>\$2,045,258,400</b>	<b>\$2,240,770,900</b>	<b>\$195,512,500</b>	<b>9.6%</b>

1 - Recurring funds.

2 - Nonrecurring funding to conduct a statewide facilities condition survey of all core "Education & General" (E&G) buildings.

3 - Recurring and nonrecurring funding for strategic investments in specialized units and statewide system priorities. See Attachment III for further detail.

4 - Recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M).

### ATTACHMENT III 2023-24 Specialized Units Strategic Initiatives

Governing Board	Institution	Project Name	Total Cost	Project Description
TSU	TSU Institute of Agricultural and Environmental Research	<b>Agricultural Research at 1890 Land Grant Institutions Federal Match Funds</b>	\$1,000,000	<p>Recurring funding to address a gap in the matching requirement for the TSU Institute of Agricultural and Environmental Research for 2021-22 and estimated for 2022-23 and 2023-24. <b>(\$1,000,000 recurring)</b></p> <p>The United States Department of Agriculture (USDA) National Institute of Food and Agriculture (NIFA) offers capacity grants to support research and extension activities at institutions of higher education that have been identified as 1862 or 1890 Land-Grant institutions. The grant amounts are based on statutory formulas which include share of state population living in rural areas and percent of land dedicated to farming activity. Each fiscal year, when institutions are asked by the USDA NIFA to respond to grant requests, grant award levels are estimated based on the previous year's approved budget. These grants require institutions to provide matching funds from a non-federal source that are equal to or greater than the final federal appropriation.</p>
ETSU	ETSU Family Practice	<b>Institute for Integrated Behavioral Health</b>	\$275,000	<p>Recurring funding to address three gaps that currently exist in the behavioral health workforce pipeline: funds to off-set the three-year long supervision period for Masters of Social Work completers while they work toward their license in Clinical Social Work; funds to establish a pre-doctoral internship for psychology students who currently must leave the northeast Tennessee region for clinical experience; and funds to establish a leadership program for behavioral health professionals to grow their capacity to engage in the community, break down stigma around behavioral health, and bring the best of their skillset to more settings. <b>(\$275,000 recurring)</b></p> <p>ETSU Health and the College of Medicine is engaged in a focused effort to address the mental health professions workforce shortage in Northeast Tennessee. In 2019, ETSU established the Institute for Integrated Behavioral Health, which aims to increase access to mental/behavioral healthcare by integrating mental health professionals into health care practices and training students in mental health graduate training programs and building vital careers for them in the northeast Tennessee region.</p>
UT	UT Institute for Public Service	<b>Substance Misuse and Addiction Resource for Tennessee (SMART)</b>	\$994,000	<p>In 2020, UT President Randy Boyd established the Substance Misuse and Addiction Resource for Tennessee (SMART) Policy Network to provide a forum for policymakers and addiction experts from across the state to examine policy that will improve the health and welfare of Tennesseans who suffer from substance use disorder. UT requests funding to expand the SMART Initiative to implement county-level technical assistance programs. Through this model, a regional consultant would be placed in each grand division and charged with assisting Tennessee's rural communities in identifying, applying for and managing large grants, as well as research and evaluate program effectiveness in addressing the state's opioid crisis. <b>(\$994,000 recurring)</b></p>

### 2023-24 Statewide System Priorities

Governing Board	Institution	Project Name	Total Cost	Project Description
TBR	Community Colleges & TCATs	<b>Student Support Network</b>	\$4,200,000	<p>Recurring funding to address issues identified in the Education Recovery and Innovation Committee report, research by the Comptroller's office into TN Promise and TN Reconnect, and additional research completed by TBR. <b>(\$4,200,000 recurring)</b></p> <p>The first of these interventions would create a comprehensive student support network to improve student access to counseling, emergency financial aid grants, and other assistance. This network would serve all low-income students across the TBR system by forming an integrated structure for administering support services in partnership with TDLWD and TDHS. Mental health counselors and social service coordinators would be based at each community college and would also serve multiple nearby TCATs. Additionally, this investment would scale a program to provide student emergency grants for transportation, books, food, and other emergency needs that are often a barrier to student retention and success. Aligns with recommendations from the June 2022 TN Commission on Education Recovery and Innovation report.</p>
TBR	Community Colleges & TCATs	<b>Modernizing the Learning Experience</b>	\$3,000,000	<p>Recurring funding to address advancements in teaching and learning research, communication with students from recruitment to completion, and recognition of competency attainment on the way to degrees. <b>(\$3,000,000 total recurring)</b></p> <p>First, TBR requests <b>\$750,000 recurring</b> to establish a Center for Innovation in Teaching and Learning (CITL). This Center would train and assist the 6,000 faculty across TBR colleges in advancements in instructional design, student engagement, software development, accessibility, and other technologies to help faculty become experts in pedagogy. Second, TBR requests <b>\$1,750,000 recurring</b> to implement Customs Relations Manager (CRM) software, allowing for streamlined communication between the colleges and students across multiple platforms (e.g., admissions, financial aid, advising, etc.) and for colleges to offer instant customized communication with students. Finally, TBR requests <b>\$500,000 recurring</b> to create a Comprehensive Learner Record (CLR) for all TBR students. The CLR is a dynamic, real-time portfolio that acts as a digital skills "wallet" verified by the institution and linked to learning outcomes aligned with today's employability skills.</p>
TBR	Community Colleges & TCATs	<b>Safety &amp; Security Funding</b>	\$4,350,000	<p>TBR proposes additional funding to continue the important work addressing safety and security needs across all TCATs and community colleges. <b>(\$4,350,000 nonrecurring)</b></p> <p>Feedback received from colleges on the status of projects funded under prior safety and security awards report that these projects cannot be completed under current funding levels. Examples of equipment upgrades include cameras, access control for exterior doors, speaker systems, and lighting upgrades to exterior areas and parking lots.</p>

# ATTACHMENT IV

## 2023-24 State Appropriations Distribution with 1.0% Reduction

	A	B	C	D	E = C + D	F = E + A	G = E / A	H = F / B
			Breakdown of 2023-24 Changes					
Academic Formula Units	2022-23 Appropriation <sup>1</sup>	2023-24 Formula Calculation	Outcomes Formula Adjustments	1.0% Reduction to Higher Education	2023-24 Change	2023-24 Recommendation	Percent Change	Percent Funded
<b>LGI Universities</b>								
Austin Peay	\$66,773,300	\$104,125,900	\$1,831,800	(\$758,800)	\$1,073,000	\$67,846,300	1.6%	65.2%
East Tennessee <sup>2</sup>	87,313,300	133,019,900	329,100	(969,400)	(640,300)	86,673,000	-0.7%	65.2%
Middle Tennessee	126,537,400	190,415,800	(1,078,800)	(1,387,600)	(2,466,400)	124,071,000	-1.9%	65.2%
Tennessee State	47,671,200	72,554,500	132,500	(528,700)	(396,200)	47,275,000	-0.8%	65.2%
Tennessee Tech <sup>2</sup>	68,195,300	103,886,200	251,900	(757,100)	(505,200)	67,690,100	-0.7%	65.2%
University of Memphis	151,179,000	231,461,200	1,323,100	(1,686,700)	(363,600)	150,815,400	-0.2%	65.2%
<b>Subtotal</b>	<b>\$547,669,500</b>	<b>\$835,463,500</b>	<b>\$2,789,600</b>	<b>(\$6,088,300)</b>	<b>(\$3,298,700)</b>	<b>\$544,370,800</b>	<b>-0.6%</b>	<b>65.2%</b>
<b>Community Colleges<sup>3</sup></b>								
Chattanooga	\$38,480,200	\$58,216,500	(\$123,300)	(\$424,200)	(\$547,500)	\$37,932,700	-1.4%	65.2%
Cleveland	14,638,800	22,798,000	382,000	(166,100)	215,900	14,854,700	1.5%	65.2%
Columbia	22,011,600	32,973,200	(286,700)	(240,300)	(527,000)	21,484,600	-2.4%	65.2%
Dyersburg	12,900,400	19,974,500	260,100	(145,600)	114,500	13,014,900	0.9%	65.2%
Jackson	17,835,300	26,908,000	(106,500)	(196,100)	(302,600)	17,532,700	-1.7%	65.2%
Motlow	25,764,300	37,931,400	(772,600)	(276,400)	(1,049,000)	24,715,300	-4.1%	65.2%
Nashville	26,991,000	40,513,200	(298,200)	(295,200)	(593,400)	26,397,600	-2.2%	65.2%
Northeast	26,952,800	38,871,900	(1,341,200)	(283,100)	(1,624,300)	25,328,500	-6.0%	65.2%
Pellissippi	43,071,500	62,837,200	(1,670,200)	(457,900)	(2,128,100)	40,943,400	-4.9%	65.2%
Roane	28,434,700	41,636,700	(1,001,700)	(303,400)	(1,305,100)	27,129,600	-4.6%	65.2%
Southwest	34,496,100	51,260,200	(722,500)	(373,600)	(1,096,100)	33,400,000	-3.2%	65.2%
Volunteer	34,821,200	51,968,300	(581,000)	(378,700)	(959,700)	33,861,500	-2.8%	65.2%
Walters	31,017,600	48,208,400	745,300	(351,300)	394,000	31,411,600	1.3%	65.2%
<b>Subtotal</b>	<b>\$357,415,500</b>	<b>\$534,097,500</b>	<b>(\$5,516,500)</b>	<b>(\$3,891,900)</b>	<b>(\$9,408,400)</b>	<b>\$348,007,100</b>	<b>-2.6%</b>	<b>65.2%</b>
<b>UT Universities</b>								
UT Chattanooga	\$71,977,100	\$108,660,300	(\$384,400)	(\$791,800)	(\$1,176,200)	\$70,800,900	-1.6%	65.2%
UT Knoxville <sup>2</sup>	296,407,600	454,835,200	3,268,200	(3,314,500)	(46,300)	296,361,300	0.0%	65.2%
UT Martin <sup>2</sup>	40,729,400	62,843,000	675,800	(458,000)	217,800	40,947,200	0.5%	65.2%
<b>Subtotal</b>	<b>\$409,114,100</b>	<b>\$626,338,500</b>	<b>\$3,559,600</b>	<b>(\$4,564,300)</b>	<b>(\$1,004,700)</b>	<b>\$408,109,400</b>	<b>-0.2%</b>	<b>65.2%</b>
<b>Total Colleges and Universities</b>	<b>\$1,314,199,100</b>	<b>\$1,995,899,500</b>	<b>\$832,700</b>	<b>(\$14,544,500)</b>	<b>(\$13,711,800)</b>	<b>\$1,300,487,300</b>	<b>-1.0%</b>	<b>65.2%</b>
TN Colleges of Applied Technology <sup>2</sup>	\$88,379,600	\$132,875,000	(\$832,700)	(\$968,300)	(\$1,801,000)	\$86,578,600	-2.0%	65.2%
<b>Total Academic Formula Units</b>	<b>\$1,402,578,700</b>	<b>\$2,128,774,500</b>	<b>\$0</b>	<b>(\$15,512,800)</b>	<b>(\$15,512,800)</b>	<b>\$1,387,065,900</b>	<b>-1.1%</b>	<b>65.2%</b>

**Note: Finance and Administration sets a reduction amount each year. THEC divides this reduction amount between the formula and specialized units based on the percent of total Education & General revenue reported in the most recent proposed operating budgets. Specialized units with no ability to generate additional revenue from tuition are reduced at a lower rate.**

1 - Recurring funding. Includes historical funding of \$7.4M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is for the sector as a whole. Institutional detail displayed here is for informational purposes only.

# ATTACHMENT IV

## 2023-24 State Appropriations Distribution with 1.0% Reduction<sup>1</sup>

	A	B	C = B - A	D = C / A
	2022-23 Appropriation <sup>2</sup>	2023-24 Recommendation <sup>2</sup>	Total Change	Percent Change
<b>Specialized Units</b>				
<b>Medical Education</b>				
ETSU College of Medicine	\$43,928,600	\$43,598,100	(\$330,500)	-0.8%
ETSU Family Practice	9,275,600	9,220,600	(55,000)	-0.6%
UT College of Vet Medicine	29,130,000	28,881,000	(249,000)	-0.9%
UT Health Science Center	191,150,900	189,528,100	(1,622,800)	-0.8%
<b>Subtotal</b>	<b>\$273,485,100</b>	<b>\$271,227,800</b>	<b>(\$2,257,300)</b>	<b>-0.8%</b>
<b>Research and Public Service</b>				
UT Agricultural Experiment Station	\$33,945,100	\$33,743,900	(\$201,200)	-0.6%
UT Agricultural Extension Service	44,418,800	44,155,600	(263,200)	-0.6%
TSU McMinnville Center	1,506,700	1,497,800	(8,900)	-0.6%
TSU Institute of Ag. and Environmental Research	4,982,200	4,952,700	(29,500)	-0.6%
TSU Cooperative Extension	6,070,200	6,034,200	(36,000)	-0.6%
TSU McIntire-Stennis Forestry Research	215,400	214,100	(1,300)	-0.6%
UT Space Institute	10,101,600	10,035,800	(65,800)	-0.7%
UT Institute for Public Service	7,429,900	7,385,900	(44,000)	-0.6%
Tennessee Language Center	878,100	872,900	(5,200)	-0.6%
Institute for Public Service: Other Agencies	6,551,800	6,513,000	(38,800)	-0.6%
UT County Technical Assistance Service	3,625,300	3,603,800	(21,500)	-0.6%
UT Municipal Technical Advisory Service	4,241,500	4,216,400	(25,100)	-0.6%
<b>Subtotal</b>	<b>\$116,536,700</b>	<b>\$115,840,200</b>	<b>(\$696,500)</b>	<b>-0.6%</b>
<b>Other Specialized Units</b>				
UT Southern	\$5,656,700	\$5,579,000	(\$77,700)	-1.4%
UT University-Wide Administration	5,829,000	5,794,500	(34,500)	-0.6%
TN Board of Regents Administration	29,534,300	29,359,300	(175,000)	-0.6%
TN Student Assistance Corporation	116,688,000	116,271,800	(416,200)	-0.4%
Tennessee Student Assistance Awards	113,262,500	113,262,500	-	0.0%
Tennessee Students Assistance Corporation	2,657,800	2,657,800	-	0.0%
Loan/Scholarships Program	767,700	351,500	(416,200)	-54.2%
Contract Education	2,577,000	2,577,000	-	0.0%
TN Higher Education Commission	6,564,300	6,564,300	-	0.0%
<b>Subtotal</b>	<b>\$166,849,300</b>	<b>\$166,145,900</b>	<b>(\$703,400)</b>	<b>-0.4%</b>
<b>Total Formula and Specialized Units</b>	<b>\$1,959,449,800</b>	<b>\$1,940,279,800</b>	<b>(\$19,170,000)</b>	<b>-1.0%</b>
<b>Program Initiatives</b>				
Campus Centers of Excellence	\$19,728,600	\$19,728,600	\$0	0.0%
Campus Centers of Emphasis	1,431,300	1,431,300	-	0.0%
Academic Scholars Program	1,211,800	1,211,800	-	0.0%
UT Access and Diversity Initiative	5,806,700	5,772,300	(34,400)	-0.6%
TBR Access and Diversity Initiative	10,256,900	10,196,100	(60,800)	-0.6%
THEC Grants	8,661,200	8,661,200	-	0.0%
Research Initiatives - UT	5,852,900	5,818,200	(34,700)	-0.6%
ETSU Special Legislative Initiatives <sup>3</sup>	2,100,000	2,100,000	-	0.0%
TTU Special Legislative Initiatives <sup>3</sup>	6,500,000	6,500,000	-	0.0%
UT Knoxville Special Legislative Initiatives <sup>3</sup>	6,968,200	6,968,200	-	0.0%
UT Martin Special Legislative Initiatives <sup>3</sup>	640,000	640,000	-	0.0%
TCAT Special Legislative Initiatives <sup>3</sup>	16,651,000	16,651,000	-	0.0%
<b>Subtotal</b>	<b>\$85,808,600</b>	<b>\$85,678,700</b>	<b>(\$129,900)</b>	<b>-0.2%</b>
<b>Total</b>	<b>\$2,045,258,400</b>	<b>\$2,025,958,500</b>	<b>(\$19,299,900)</b>	<b>-0.9%</b>

<sup>1</sup> - Finance and Administration identified TSAA Awards, Loan/Scholarship Program, Academic Scholars Program, and Recurring Capital Maintenance as exempt from the 1.0% reduction scenario for 2023-24.

<sup>2</sup> - Recurring funds.

<sup>3</sup> - Recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M).

# ATTACHMENT V

## 2023-24 State Appropriations Funding Scenarios

			A	B	C
				Alternative Funding Scenarios	
	Outcomes Formula Calculation	Share of Total Outcomes Formula Calculation	\$150,000,000 THEC Recommendation <sup>1</sup>	\$200,000,000 Flat Tuition Scenario <sup>2</sup>	Flat Funding Scenario <sup>3</sup>
Academic Formula Units					
<b>LGI Universities</b>					
Austin Peay	\$104,125,900	5.22%	\$75,942,100	\$78,387,800	\$68,605,100
East Tennessee	133,019,900	6.66%	97,015,400	100,139,700	87,642,400
Middle Tennessee	190,415,800	9.54%	138,875,900	143,348,300	125,458,600
Tennessee State	72,554,500	3.64%	52,916,100	54,620,300	47,803,700
Tennessee Tech	103,886,200	5.20%	75,767,300	78,207,400	68,447,200
University of Memphis	231,461,200	11.60%	168,811,600	174,248,100	152,502,100
<b>Subtotal</b>	<b>\$835,463,500</b>	<b>41.86%</b>	<b>\$609,328,400</b>	<b>\$628,951,600</b>	<b>\$550,459,100</b>
<b>Community Colleges</b>					
Chattanooga	\$58,216,500	2.92%	\$42,459,000	\$43,826,400	\$38,356,900
Cleveland	22,798,000	1.14%	16,627,200	17,162,700	15,020,800
Columbia	32,973,200	1.65%	24,048,300	24,822,800	21,724,900
Dyersburg	19,974,500	1.00%	14,568,000	15,037,100	13,160,500
Jackson	26,908,000	1.35%	19,624,800	20,256,800	17,728,800
Motlow	37,931,400	1.90%	27,664,500	28,555,400	24,991,700
Nashville	40,513,200	2.03%	29,547,500	30,499,000	26,692,800
Northeast	38,871,900	1.95%	28,350,700	29,263,700	25,611,600
Pellissippi	62,837,200	3.15%	45,829,000	47,304,900	41,401,300
Roane	41,636,700	2.09%	30,366,800	31,344,800	27,433,000
Southwest	51,260,200	2.57%	37,385,600	38,589,500	33,773,600
Volunteer	51,968,300	2.60%	37,902,000	39,122,700	34,240,200
Walters	48,208,400	2.42%	35,159,800	36,292,100	31,762,900
<b>Subtotal</b>	<b>\$534,097,500</b>	<b>26.76%</b>	<b>\$389,533,200</b>	<b>\$402,077,900</b>	<b>\$351,899,000</b>
<b>UT Universities</b>					
UT Chattanooga	\$108,660,300	5.44%	\$79,249,200	\$81,801,400	\$71,592,700
UT Knoxville	454,835,200	22.79%	331,724,900	342,407,900	299,675,800
UT Martin	62,843,000	3.15%	45,833,300	47,309,300	41,405,200
<b>Subtotal</b>	<b>\$626,338,500</b>	<b>31.38%</b>	<b>\$456,807,400</b>	<b>\$471,518,600</b>	<b>\$412,673,700</b>
<b>Total Colleges and Universities</b>	<b>\$1,995,899,500</b>	<b>100.00%</b>	<b>\$1,455,669,000</b>	<b>\$1,502,548,100</b>	<b>\$1,315,031,800</b>
TN Colleges of Applied Technology	\$132,875,000		\$96,909,700	\$100,030,600	\$87,546,900
<b>Total Academic Formula Units</b>	<b>\$2,128,774,500</b>		<b>\$1,552,578,700</b>	<b>\$1,602,578,700</b>	<b>\$1,402,578,700</b>

1 - Based on a request of \$35M for outcomes productivity and \$115M to prioritize a tuition and fee range increase of 0.0% to 3.0%, resulting in a total new recurring appropriation recommendation of \$150M.

2 - Based on a request of \$35M for outcomes productivity and \$165M to prioritize flat tuition and fees, resulting in a total new recurring appropriation recommendation of \$200M.

2 - Based on no new recurring funding. In this scenario, base funding is redistributed between academic formula units based on performance but no new recurring appropriations are provided.

# ATTACHMENT VI

## Three-Year Average Change in Formula Outcomes

### Community Colleges

Total Outcomes	2022-23 Formula	2023-24 Formula	Change	
Students Accumulating 12 hrs.	21,683	19,831	(1,851)	-8.5%
Students Accumulating 24 hrs.	17,492	16,133	(1,358)	-7.8%
Students Accumulating 36 hrs.	15,423	14,363	(1,060)	-6.9%
Associates <sup>1</sup>	11,581	11,617	36	0.3%
Certificates 1-2 Year	961	929	(32)	-3.3%
Certificates <1 Year	2,581	2,489	(93)	-3.6%
Dual Enrollment	19,814	19,599	(215)	-1.1%
Student Transfer	6,440	6,063	(377)	-5.9%
Awards per 100 FTE	25.43	27.37	1.94	7.6%
Job Placements	3,852	3,751	(102)	-2.6%
Workforce Training	682,975	707,672	24,697	3.6%
Adult Focus Population				
Students Accumulating 12 hrs.	4,049	3,389	(660)	-16.3%
Students Accumulating 24 hrs.	4,319	3,834	(485)	-11.2%
Students Accumulating 36 hrs.	4,395	4,107	(287)	-6.5%
Associates <sup>1</sup>	4,644	4,874	231	5.0%
Certificates 1-2 Year	534	545	12	2.2%
Certificates <1 Year	1,442	1,389	(53)	-3.7%
Low-Income Focus Population				
Students Accumulating 12 hrs.	13,208	11,232	(1,977)	-15.0%
Students Accumulating 24 hrs.	11,306	9,875	(1,431)	-12.7%
Students Accumulating 36 hrs.	10,324	9,291	(1,034)	-10.0%
Associates <sup>1</sup>	8,193	8,117	(76)	-0.9%
Certificates 1-2 Year	652	627	(26)	-3.9%
Certificates <1 Year	1,542	1,501	(41)	-2.7%
Academically Underprepared Focus Population				
Students Accumulating 12 hrs.	12,729	11,143	(1,586)	-12.5%
Students Accumulating 24 hrs.	10,743	9,687	(1,056)	-9.8%
Students Accumulating 36 hrs.	9,561	8,732	(829)	-8.7%
Associates <sup>2</sup>	6,966	6,905	(61)	-0.9%
Certificates 1-2 Year	560	527	(33)	-5.9%
Certificates <1 Year	1,273	1,211	(62)	-4.8%
Workforce Investment Premium				
Associates <sup>1</sup>	3,202	3,222	20	0.6%
Certificates 1-2 Year	534	513	(22)	-4.1%
Certificates <1 Year	1,540	1,540	(1)	0.0%
One Focus Population				
Students Accumulating 12 hrs.	7,363	6,893	(470)	-6.4%
Students Accumulating 24 hrs.	5,620	5,352	(267)	-4.8%
Students Accumulating 36 hrs.	4,810	4,531	(279)	-5.8%
Associates <sup>1</sup>	2,966	2,907	(58)	-2.0%
Certificates 1-2 Year	159	148	(11)	-7.1%
Certificates <1 Year	533	498	(35)	-6.5%
Two Focus Populations				
Students Accumulating 12 hrs.	7,952	6,893	(1,059)	-13.3%
Students Accumulating 24 hrs.	6,524	5,794	(730)	-11.2%
Students Accumulating 36 hrs.	5,781	5,248	(533)	-9.2%
Associates <sup>1</sup>	4,087	4,014	(73)	-1.8%
Certificates 1-2 Year	328	311	(17)	-5.1%
Certificates <1 Year	896	873	(23)	-2.6%
Three Focus Populations				
Students Accumulating 12 hrs.	2,240	1,695	(545)	-24.3%
Students Accumulating 24 hrs.	2,567	2,152	(415)	-16.2%
Students Accumulating 36 hrs.	2,636	2,368	(268)	-10.2%
Associates <sup>1</sup>	2,693	2,771	78	2.9%
Certificates 1-2 Year	320	317	(4)	-1.1%
Certificates <1 Year	793	778	(15)	-1.9%
All Four Focus Populations				
Associates <sup>1</sup>	777	802	25	3.2%
Certificates 1-2 Year	126	123	(3)	-2.6%
Certificates <1 Year	273	265	(8)	-2.8%

1 - Includes reverse transfer associate degrees after the degree is split 0.5 each for the community college and the partner university.

# ATTACHMENT VI

## Three-Year Average Change in Formula Outcomes

Universities					
Total Outcomes		2022-23 Formula	2023-24 Formula	Change	
Students Accumulating 30 hrs.		17,403	16,909	(494)	-2.8%
Students Accumulating 60 hrs.		18,585	18,203	(382)	-2.1%
Students Accumulating 90 hrs.		21,362	21,200	(162)	-0.8%
Associates <sup>1</sup>		624	728	104	16.7%
Bachelors		22,329	22,282	(48)	-0.2%
Masters / Ed Specialists		5,762	6,021	260	4.5%
Doctoral / Law Degree		1,231	1,274	43	3.5%
Research, Service, and Sponsored Programs		\$383,097,599	\$400,337,005	\$17,239,406	4.5%
Degrees per 100 FTE		23.57	23.87	0.30	1.3%
Six-Year Graduation Rate		62.9%	63.4%	0.5	0.8%
Adult Focus Population					
Students Accumulating 30 hrs.		528	492	(36)	-6.8%
Students Accumulating 60 hrs.		1,180	1,104	(76)	-6.4%
Students Accumulating 90 hrs.		2,750	2,613	(137)	-5.0%
Associates <sup>1</sup>		327	367	39	12.0%
Bachelors		5,898	5,649	(249)	-4.2%
Low-Income Focus Population					
Students Accumulating 30 hrs.		8,341	7,484	(857)	-10.3%
Students Accumulating 60 hrs.		9,280	8,614	(667)	-7.2%
Students Accumulating 90 hrs.		11,384	10,979	(405)	-3.6%
Associates <sup>1</sup>		688	754	66	9.6%
Bachelors		11,895	11,835	(60)	-0.5%
Workforce Investment Premium					
Associates <sup>1</sup>		70	63	(7)	-10.0%
Bachelors		6,845	7,046	201	2.9%
One Focus Population					
Students Accumulating 30 hrs.		8,097	7,284	(813)	-10.0%
Students Accumulating 60 hrs.		8,634	8,009	(625)	-7.2%
Students Accumulating 90 hrs.		9,686	9,369	(317)	-3.3%
Associates <sup>1</sup>		272	323	52	19.0%
Bachelors		9,060	9,119	59	0.7%
Two Focus Populations					
Students Accumulating 30 hrs.		386	346	(40)	-10.4%
Students Accumulating 60 hrs.		913	854	(59)	-6.4%
Students Accumulating 90 hrs.		2,224	2,111	(112)	-5.1%
Associates <sup>1</sup>		179	212	34	19.0%
Bachelors		5,748	5,633	(115)	-2.0%
All Three Focus Populations					
Associates <sup>1</sup>		25	19	(6)	-23.7%
Bachelors		1,361	1,382	21	1.5%

1 - Includes reverse transfer associate degrees after the degree is split 0.5 each for the community college and the partner university.

## ATTACHMENT VII

### 2023-24 Total Formula Revenue Analysis

Academic Formula Units	State Appropriation Recommendation <sup>1</sup>	Tuition Revenue <sup>2</sup>	Out-of-State Tuition Revenue <sup>2</sup>	Total Revenue	Total Formula Revenue Need	Difference (Short)	Percent Funded
<b>LGI Universities</b>							
Austin Peay	\$75,942,100	\$66,713,900	\$2,393,600	\$145,049,600	\$192,588,300	(\$47,538,700)	75.3%
East Tennessee <sup>3</sup>	99,115,400	95,995,300	15,723,700	210,834,400	260,986,500	(50,152,100)	80.8%
Middle Tennessee	138,875,900	159,454,900	18,553,800	316,884,600	353,581,300	(36,696,700)	89.6%
Tennessee State	52,916,100	50,319,000	29,793,800	133,028,900	147,993,400	(14,964,500)	89.9%
Tennessee Tech <sup>3</sup>	82,267,300	82,399,000	3,799,900	168,466,200	195,390,300	(26,924,100)	86.2%
University of Memphis	168,811,600	168,517,500	10,923,800	348,252,900	442,727,700	(94,474,800)	78.7%
<b>Subtotal</b>	<b>\$617,928,400</b>	<b>\$623,399,600</b>	<b>\$81,188,600</b>	<b>\$1,322,516,600</b>	<b>\$1,593,267,500</b>	<b>(\$270,750,900)</b>	<b>83.0%</b>
<b>Community Colleges<sup>4</sup></b>							
Chattanooga	\$42,459,000	\$22,736,000	\$812,000	\$66,007,000	\$88,832,200	(\$22,825,200)	74.3%
Cleveland	16,627,200	9,643,600	457,500	26,728,300	34,265,600	(7,537,300)	78.0%
Columbia	24,048,300	17,103,600	354,000	41,505,900	49,664,400	(8,158,500)	83.6%
Dyersburg	14,568,000	8,601,200	272,400	23,441,600	30,033,300	(6,591,700)	78.1%
Jackson	19,624,800	11,773,100	108,800	31,506,700	40,436,300	(8,929,600)	77.9%
Motlow	27,664,500	18,402,000	375,600	46,442,100	57,135,200	(10,693,100)	81.3%
Nashville	29,547,500	19,393,000	467,300	49,407,800	61,207,600	(11,799,800)	80.7%
Northeast	28,350,700	15,849,800	73,700	44,274,200	58,253,000	(13,978,800)	76.0%
Pellissippi	45,829,000	27,455,800	2,116,300	75,401,100	94,924,400	(19,523,300)	79.4%
Roane	30,366,800	15,619,400	266,900	46,253,100	62,745,000	(16,491,900)	73.7%
Southwest	37,385,600	19,076,600	2,621,700	59,083,900	77,089,300	(18,005,400)	76.6%
Volunteer	37,902,000	21,821,600	304,500	60,028,100	78,521,700	(18,493,600)	76.4%
Walters	35,159,800	17,288,900	498,000	52,946,700	72,481,800	(19,535,100)	73.0%
<b>Subtotal</b>	<b>\$389,533,200</b>	<b>\$224,764,600</b>	<b>\$8,728,700</b>	<b>\$623,026,500</b>	<b>\$805,589,800</b>	<b>(\$182,563,300)</b>	<b>77.3%</b>
<b>UT Universities</b>							
UT Chattanooga	\$79,249,200	\$92,304,700	\$7,020,200	\$178,574,100	\$202,878,900	(\$24,304,800)	88.0%
UT Knoxville <sup>3</sup>	338,693,100	377,897,500	85,231,600	801,822,200	858,197,300	(56,375,100)	93.4%
UT Martin <sup>3</sup>	46,473,300	50,921,700	2,082,400	99,477,400	118,324,100	(18,846,700)	84.1%
<b>Subtotal</b>	<b>\$464,415,600</b>	<b>\$521,123,900</b>	<b>\$94,334,200</b>	<b>\$1,079,873,700</b>	<b>\$1,179,400,300</b>	<b>(\$99,526,600)</b>	<b>91.6%</b>
<b>Total Colleges and Universities</b>	<b>\$1,471,877,200</b>	<b>\$1,369,288,100</b>	<b>\$184,251,500</b>	<b>\$3,025,416,800</b>	<b>\$3,578,257,600</b>	<b>(\$552,840,800)</b>	<b>84.5%</b>
TN Colleges of Applied Technology <sup>3</sup>	\$113,560,700	\$42,973,900	\$0	\$156,534,600	\$163,374,000	(\$6,839,400)	95.8%
<b>Total Academic Formula Units</b>	<b>\$1,585,437,900</b>	<b>\$1,412,262,000</b>	<b>\$184,251,500</b>	<b>\$3,181,951,400</b>	<b>\$3,741,631,600</b>	<b>(\$559,680,200)</b>	<b>85.0%</b>

1 - Recurring; accounts for recommended \$150M in additional funding for academic formula units.

2 - Assumes no salary increases, tuition increases of 1.5%, and annual enrollment increases of 0% at universities, community colleges and colleges of applied technology.

3 - Includes recurring funds appropriated to ETSU (Gray Fossil Site \$350,000, School of Nursing \$1.0M, and Rural Public Health Project \$750,000), TTU (Wind Tunnel / Supercomputer \$3.5M and College of Engineering \$3.0M), UT Knoxville (College of Engineering \$3.0M and American Civics Institute \$3.97M), UT Martin (Parsons Center \$200,000, Selmer Center \$190,000, and Somerville Center \$250,000), and the TCATs (Correctional Education Investment Initiative \$426,000, TCAT Morristown Truck Driving School \$225,000, and TCAT Waitlist \$16.0M).

4 - THEC's community college recommendation is for the sector as a whole. Institutional detail displayed here is for informational purposes only.

## Appendix A

### Legislative Initiatives Included in Funding Formula

This appendix presents legislative initiatives that are distributed through the funding formula. Projects identified for distribution outside the funding formula or those appropriated to specialized units are excluded.

Institution	Initiative	Funding
<b>Locally Governed Institutions</b>		
Middle Tennessee	Small Business Development Center	\$270,800
	Center for Dyslexic Studies	218,700
Tennessee State	Cooperative Agriculture	\$50,000
	Center on Aging	37,000
	Upward Bound	31,800
	Intracampus Transportation	75,500
	Academically-Talented Student Scholarships	832,000
Tennessee Tech	Horticultural Research	\$92,300
	Joe L. Evins Center	608,600
	Carnegie Classification Change	2,100,000
University of Memphis	Seismic Safety Commission	\$65,000
<b>Subtotal</b>		<b>\$4,381,700</b>
<b>Community Colleges</b>		
Columbia	Collegiate Center	\$72,700
Roane	Oak Ridge Campus Operations	\$150,000
Systemwide	Administrative Support Services	\$2,060,300
<b>Subtotal</b>		<b>\$2,283,000</b>
<b>UT Universities</b>		
UT Martin	Ripley Center	\$310,000
<b>Subtotal</b>		<b>\$310,000</b>
TN Colleges of Applied Technology	Administrative Support Services	\$384,500
<b>Total Funding</b>		<b>\$7,359,200</b>

# Appendix B



## TENNESSEE HIGHER EDUCATION COMMISSION

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### REGULAR CALENDAR ITEM: IV.

**MEETING DATE:** November 3, 2022

**SUBJECT:** 2023-24 Capital Projects Recommendations

**ITEM TYPE:** Action

**ACTION RECOMMENDATION:** Approval

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### BACKGROUND

Capital projects are broadly categorized according to project scope and funding source. State appropriations fund capital outlay projects, which consist of new construction or major renovation of existing facilities, as well as capital maintenance projects, which repair and replace systems and structures on existing facilities. The third type of capital project, referred to as a disclosed project, is distinguished by its funding source, which does not include state funding. These projects may be funded by gift funds, institutional funds, grants, or from various auxiliary functions, such as residence halls, parking, and athletics. Since a disclosed project is funded without state appropriations, an institution signals its intent to initiate the project and seek approval from the State Building Commission.

### RECOMMENDATION SUMMARY

#### Capital Outlay

The capital outlay recommendation for 2023-24 state appropriation totals \$551.3 million. The average cost of the projects is \$50.1 million per project. As detailed in Attachment I, this request includes 3 projects for the Tennessee Board of Regents (TBR), totaling \$127.4 million (23.1% of the total appropriation), 5 projects for the Locally Governed Institutions (LGIs), totaling \$217.6 million (39.5% of total), and 3 projects for University of Tennessee (UT), totaling \$206.2 million (37.4% of total). These projects were identified and prioritized using the selection criteria endorsed by the Commission at the May 2017 quarterly meeting. Two of the projects (APSU Health Profession Building and MTSU Applied Engineering Building) were previously evaluated and funded in FY2021-22 and are recommended for increases in funding due to high rates of inflation. The additional funding is required to complete the originally submitted project scope.

The capital outlay process for all outlay project types is focused on the Drive to 55 and ensures that projects are consistent with state and institutional goals. Projects were evaluated on their relationship to state goals and degree production, congruence with an approved Master Plan, space guidelines and facility assessments, program and business plan, and match requirements.

This year a total of \$5.99 million is recommended for planned demolitions by UT, ETSU, and UoM, shown in Attachment IV. Demolition projects do not qualify for maintenance funding, and institutions are encouraged to plan demolitions of buildings that are beyond their useful life to improve space efficiencies and reduce overhead.

## **Capital Maintenance**

The 2023-24 recommendation for capital maintenance is \$369.9 million for 144 projects. As shown in Attachments V, VI, and VII, this includes 59 projects for TBR, totaling \$56.7 million (15.3% of the total), 42 projects for the LGIs, totaling \$93.1 million (25.2% of total), and 27 projects for the UT system, totaling \$88.6 million (24% of total). The capital maintenance recommendation places an emphasis on TCAT capital maintenance projects; with a request of \$28 million it is itemized separately and expanded to include all TCAT outyear maintenance projects. This total capital maintenance request will allow each system to limit increases in deferred maintenance.

Two special initiative requests for Americans with Disabilities Act (ADA) and for Safety and Security are included in the Capital Maintenance recommendations. The Safety and Security allocations totaling \$25 million (6.8% of total) will include improvements to door hardware, cameras, fencing, and lighting. A request of \$106.5 million (28.8% of total) will substantially complete ADA program accessibility improvements for all institutions. Although all institutions have made enormous strides towards the removal of barriers, the majority of existing Educational and General (E&G) higher education buildings (67%) were constructed prior to the passage of ADA in 1990. The work will include all types of path-of-travel accommodations from the campus site locations and parking, to ramps and building entrances, classroom and lab accommodations, restrooms, elevators, drinking fountains, and signage.

Tennessee has consistently provided funding for maintenance projects across higher education. Over the last five fiscal years, capital maintenance funding has averaged \$101.1 million per year.

## **Disclosure of Revenue-Funded Projects**

Disclosed projects are funded through institutional funds, the Tennessee State School Bond Authority (TSSBA), auxiliary funds, or sources other than state appropriations. For 2023-24, TBR, the LGIs, and UT are disclosing 40 revenue and TSSBA-funded projects totaling \$985.7 million. As detailed in Attachments IX and X, the disclosure sources include \$514.8 million of TSSBA funded projects (housing, athletics, parking, short-term financing), \$338.5 million of gift funds, \$35.9 of grants, \$29.7 of auxiliary funds, and \$66.8 of non-auxiliary institutional funds.

# Capital Projects Recommendation

## ATTACHMENT I

### THEC 2023-24 Capital Projects Recommendation Summary

THEC Priority	2023-24 Capital Outlay								
			Total	Previous	2023-24	Total	Previous	2023-24	2023-24 State
	Outlay Projects		Project Cost	Project Cost	Project Cost	Match Percent	Match Funds	Match Funds	Appropriation Request <sup>1</sup>
1	ETSU	Brown Hall Renovation Phase 2	\$ 93,600,000	\$ 47,700,000	\$ 45,900,000	4%	\$ 1,908,000	\$ 1,836,000	\$ 44,064,000
2	UTC	Fletcher Hall Addition and Renovation	\$ 87,680,000	\$ -	\$ 87,680,000	34%	\$ -	\$ 30,000,000	\$ 57,680,000
3	TTU	Academic Classroom Building	\$ 66,500,000	\$ -	\$ 66,500,000	8%	\$ -	\$ 5,320,000	\$ 61,180,000
4	UoM	Research Modernization	\$ 65,000,000	\$ -	\$ 65,000,000	4%	\$ -	\$ 2,600,000	\$ 62,400,000
5	UTK	Chemistry Building	\$ 150,500,000	\$ -	\$ 150,500,000	17%	\$ -	\$ 25,585,000	\$ 124,915,000
6	UTHSC	Gross Anatomy Lab Renovation	\$ 24,130,000	\$ -	\$ 24,130,000	2%	\$ -	\$ 482,600	\$ 23,647,400
7	JSCC	Jackson Regional Workforce Training Center	\$ 34,600,000	\$ -	\$ 34,600,000	4%	\$ -	\$ 1,384,000	\$ 33,216,000
8	TCAT Paris	Henry County Higher Education Center	\$ 58,000,000	\$ -	\$ 58,000,000	0%	\$ -	\$ -	\$ 58,000,000
9	NeSCC/TCAT Elizabethton	Carter County Higher Education Center	\$ 40,000,000	\$ -	\$ 40,000,000	9%	\$ -	\$ 3,780,000	\$ 36,220,000
*	APSU	APSU Health Profession Building - Inflation Increase	\$ 103,887,500	\$ 71,887,500	\$ 32,000,000	6%	\$ 5,820,000	\$ 371,695	\$ 31,628,305
*	MTSU	MTSU Applied Engineering Building - Inflation Increase	\$ 74,800,000	\$ 54,900,000	\$ 19,900,000	8%	\$ 4,392,000	\$ 1,592,000	\$ 18,308,000
TBR Total			\$ 132,600,000	\$ -	\$ 132,600,000	4%	\$ -	\$ 5,164,000	\$ 127,436,000
LGI Total			\$ 403,787,500	\$ 174,487,500	\$ 229,300,000	6%	\$ 12,120,000	\$ 11,719,695	\$ 217,580,305
UT Total			\$ 262,310,000	\$ -	\$ 262,310,000	21%	\$ -	\$ 56,067,600	\$ 206,242,400

#### 2023-24 Capital Maintenance

	Total	Total Projects	State Funds Request
<b>TBR Total: TCATs</b>	<b>\$ 26,971,000</b>	<b>28</b>	<b>\$ 26,971,000</b>
<b>TBR Total: Community Colleges</b>	<b>\$ 29,728,000</b>	<b>31</b>	<b>\$ 29,728,000</b>
<b>LGI Total</b>	<b>\$ 93,081,000</b>	<b>42</b>	<b>\$ 93,081,000</b>
<b>UT Total</b>	<b>\$ 88,640,000</b>	<b>27</b>	<b>\$ 88,640,000</b>
<b>Statewide ADA Campus Request</b>	<b>\$ 106,470,000</b>	<b>8</b>	<b>\$ 106,470,000</b>
<b>Statewide Safety and Security Campus Request</b>	<b>\$ 25,000,000</b>	<b>8</b>	<b>\$ 25,000,000</b>

#### 2023-24 Overall Capital Projects

	Total	Total Projects	Total 2023-24 Match Funds	State Appropriation Request <sup>1</sup>
<b>Total Capital Outlay Request</b>	<b>\$ 798,697,500</b>	<b>11</b>	<b>\$ 72,951,295</b>	<b>\$ 551,258,705</b>
<b>Total Capital Demolition</b>	<b>\$ 5,990,000</b>	<b>17</b>	<b>NA</b>	<b>\$ 5,990,000</b>
<b>Total Capital Maintenance Request</b>	<b>\$ 369,890,000</b>	<b>144</b>	<b>NA</b>	<b>\$ 369,890,000</b>
<b>Total Capital Investment</b>	<b>\$ 1,174,577,500</b>	<b>172</b>	<b>\$ 72,951,295</b>	<b>\$ 927,138,705</b>

\* Capital Outlay request for inflation

1 - Reflects current year total state appropriation request not including other funding sources

**Capital Projects Recommendation**  
**ATTACHMENT II**  
**2023-24 THEC Capital Outlay Projects Recommendation**  
2023-24 Capital Outlay

THEC Priority	Institution	Project Name	A	B	C	D	E	F	G	H	I
			A=B+C			D=F+G	E = D / A				I = C - (G+H)
			Total Project Cost	Previous Years Project Cost	2023-24 Project Cost	Total Match Funds	Total Match Percent	Previous Match Funds	2023-24 Match Funds	Other Institutional Funds	2023-24 State Appropriation Request <sup>1</sup>
1	ETSU	Brown Hall Renovation Phase 2	\$ 93,600,000	\$ 47,700,000	\$ 45,900,000	\$ 3,744,000	4%	\$ 1,908,000	\$ 1,836,000	\$ -	\$ 44,064,000
2	UTC	Fletcher Hall Addition and Renovation	\$ 87,680,000	\$ -	\$ 87,680,000	\$ 30,000,000	34%		\$ 30,000,000	\$ -	\$ 57,680,000
3	TTU	Academic Classroom Building	\$ 66,500,000	\$ -	\$ 66,500,000	\$ 5,320,000	8%		\$ 5,320,000	\$ -	\$ 61,180,000
4	UoM	Research Modernization	\$ 65,000,000	\$ -	\$ 65,000,000	\$ 2,600,000	4%		\$ 2,600,000	\$ -	\$ 62,400,000
5	UTK	Chemistry Building	\$ 150,500,000	\$ -	\$ 150,500,000	\$ 25,585,000	17%		\$ 25,585,000	\$ -	\$ 124,915,000
6	UTHSC	Gross Anatomy Lab Renovation	\$ 24,130,000	\$ -	\$ 24,130,000	\$ 482,600	2%		\$ 482,600	\$ -	\$ 23,647,400
7	JSCC	Jackson Regional Workforce Training Center	\$ 34,600,000	\$ -	\$ 34,600,000	\$ 1,384,000	4%		\$ 1,384,000	\$ -	\$ 33,216,000
8	TCAT Paris	Henry County Higher Education Center	\$ 58,000,000	\$ -	\$ 58,000,000		0%		\$ -	\$ -	\$ 58,000,000
9	NeSCC/TCAT Elizabethton	Carter County Higher Education Center	\$ 40,000,000	\$ -	\$ 40,000,000	\$ 3,780,000	9%		\$ 3,780,000	\$ -	\$ 36,220,000
*	APSU	APSU Health Profession Building - Inflation Increase	\$ 103,887,500	\$ 71,887,500	\$ 32,000,000	\$ 6,191,695	6%	\$ 5,820,000	\$ 371,695	\$ -	\$ 31,628,305
*	MTSU	MTSU Applied Engineering Building - Inflation Increase	\$ 74,800,000	\$ 54,900,000	\$ 19,900,000	\$ 5,984,000	8%	\$ 4,392,000	\$ 1,592,000	\$ -	\$ 18,308,000
2023-24 TBR Total			\$ 132,600,000	\$ -	\$ 132,600,000	\$ 5,164,000	4%	\$ -	\$ 5,164,000	\$ -	\$ 127,436,000
2023-24 LGI Total			\$ 403,787,500	\$ 174,487,500	\$ 229,300,000	\$ 23,839,695	6%	\$ 12,120,000	\$ 11,719,695	\$ -	\$ 217,580,305
2023-24 UT Total			\$ 262,310,000	\$ -	\$ 262,310,000	\$ 56,067,600	21%	\$ -	\$ 56,067,600	\$ -	\$ 206,242,400
2023-24 THEC Cap Outlay General Priorities Recommendation			\$ 798,697,500	\$ 174,487,500	\$ 624,210,000	\$ 85,071,295	11%	\$ 12,120,000	\$ 72,951,295	\$ -	\$ 551,258,705

\* Capital Outlay request for inflation

1 - Reflects current year total state appropriation request not including other funding sources

# Capital Projects Recommendation

## ATTACHMENT III

### THEC 2023-24 Capital Outlay Projects Descriptions

#### 2023-24 Capital Outlay

THEC Priority	Governing Board	Institution	Project Name	Total Project Cost	2023-24 State Request	Project Description
1	ETSU	ETSU	Brown Hall Renovation Phase 2	\$93,600,000	\$ 44,064,000	This request is Phase II of the Brown Hall Renovation SBC 369/005-04-2022 for construction of the south side of the building. The proposed work includes the complete renovation of 70,862 GSF to modernize instructional labs and classrooms with technology, address needed code upgrades, and provide accessibility. This proposed Outlay request will focus on Physics and Astronomy and provide combined undergraduate research and teaching spaces for all units including Biology and Chemistry.
2	UT	UTC	Fletcher Hall Addition and Renovation	\$87,680,000	\$ 57,680,000	Renovation and addition to Fletcher Hall to accommodate growth of the Rollins College of Business. Renovation includes building systems, envelope repairs, and a portion of the building interiors. Construction of the addition will include relocation of site utilities and demolition of the Development House. Includes all related work to complete the project.
3	TTU	TTU	Academic Classroom Building	\$66,500,000	\$ 61,180,000	Demolish Matthews, Daniel and Crawford Halls. Construct a new building that will provide classrooms, faculty offices and support spaces for the Colleges of Education and Arts & Sciences. The project will provide additional flexible academic space to address campus-wide space shortages for classrooms and faculty offices. Provide administrative offices for Communications & Marketing and Research & Development
4	UoM	UoM	Research Modernization	\$65,000,000	\$ 62,400,000	This project will modernize space in 15 buildings to support research. Work includes lab renovations, infrastructure improvements and all associated work
5	UT	UTK	Chemistry Building	\$150,500,000	\$ 124,915,000	Construction of a new Chemistry Building, including the demolition of the Panhellenic Building and Buehler Hall and all related work to complete the project.
6	UT	UTHSC	Gross Anatomy Lab Renovation	\$24,130,000	\$ 23,647,400	Renovation of the General Education Building to provide space for the Gross Anatomy Lab including all related work to complete the project.
7	TBR	JSCC	Jackson Regional Workforce Training Center	\$34,600,000	\$ 33,216,000	Design and construct a new workforce development facility on campus to include a mix of classrooms, instructional labs, and high-bay training space. This facility will provide instructional space, focused on both credit and non-credit training for the local workforce. This also includes expanding parking areas to support the facility.
8	TBR	TCAT Paris	Henry County Higher Education Center	\$58,000,000	\$ 58,000,000	The current TCAT Paris campus is owned by the county and the lease is up. County wants their building back for secondary educational purposes and administrative offices. Relocate current campus to allow for new programs and develop a more efficient facility to provide existing programs much needed space to train students. New site will allow for expansion for future programs. The new campus will enhance partnerships with industries and b
9	TBR	NeSCC/TCAT Elizabethton	Carter County Higher Education Center	\$40,000,000	\$ 36,220,000	Establish a new campus in Carter County. Renovate portions of existing buildings and construct new space to accommodate programs for TCAT Elizabethton and Northeast State. Work will include renovation and interior reconfiguration of buildings, new construction and site work.
*	APSU	APSU	APSU Health Profession Building - Inflation Increase	\$103,887,500	\$ 31,628,305	Construction of a new health professions building and renovations of McCord, Dunn Center, and Sundquist Buildings for the creation of classrooms and research labs.
*	MTSU	MTSU	MTSU Applied Engineering Building - Inflation Increase	\$74,800,000	\$ 18,308,000	Design and construction of a new center for the Applied Engineering disciplines and the demolition of Voorhies Engineering technology building. Additional funds are requested due to high levels of construction and equipment cost escalation occurring since the budget request in July 2020.

\* - Capital Outlay request for inflation

# Capital Projects Recommendation

## ATTACHMENT IV

### THEC 2023-24 Capital Improvements Request - Demolition Projects

Institution	Building Name	Building Address	Project Total
ETSU	Power House Chimney and Support Structure	1165 John Robert Bell Drive, Johnson City	\$ 230,000
UoM	Millington Base	Millington Cruse Hall, Millington	\$ 110,000
UoM	Building 34	977 West MSU	\$ 180,000
UoM	Building 45	4072 South MSU	\$ 230,000
UoM	Building 46	4085 South MSU	\$ 230,000
UoM	Building 47	4097 South MSU	\$ 135,000
UoM	Building 48	4111 South MSU	\$ 135,000
UoM	Old Fire House 55	1034 East Park Loop	\$ 35,000
UoM	438 South Highland	438 South Highland, Memphis	\$ 20,000
UoM	3533 Watauga	3533 Watauga, Memphis	\$ 25,000
UoM	3610 Watauga	3610 Watauga, Memphis	\$ 15,000
UoM	3611Norriswood	3611 Norriswood, Memphis	\$ 20,000
UoM	3619 Watuaga	3619 Watuaga, Memphis	\$ 15,000
UTC	Doctors Building	744 McCallie Ave, Chattanooga	\$ 1,530,000
UTK	Concord Hotel Building	555 Concord Street, Knoxville	\$ 530,000
UTSI	Water Treatment Plant	B H Goethert Parkway, Tullahoma	\$ 1,550,000
UTM	Grove Apartments	400 University St, Martin	\$ 1,000,000
<b>Total Demolition Projects</b>			<b>\$5,990,000</b>

# Capital Projects Recommendation

## ATTACHMENT V

### 2023-24 THEC Capital Maintenance<sup>1</sup>

#### Special Initiative: ADA Funding

Governing Board	State Request
APSU	\$ 3,300,000
ETSU	\$ 7,390,000
MTSU	\$ 7,670,000
TSU	\$ 5,000,000
TTU	\$ 15,650,000
UoM	\$ 7,406,000
TBR	\$ 35,750,000
UT	\$ 24,304,000
<b>2023-24 Total</b>	<b>\$ 106,470,000</b>

#### Special Initiative: Safety and Security

Governing Board	State Request
APSU	\$ 900,000
ETSU	\$ 1,920,000
MTSU	\$ 2,130,000
TSU	\$ 1,100,000
TTU	\$ 1,240,000
UoM	\$ 2,760,000
TBR	\$ 4,100,000
UT	\$ 10,850,000
<b>2023-24 Total</b>	<b>\$ 25,000,000</b>

#### General Capital Maintenance

Governing Board Share <sup>2</sup>	State Request
APSU	3.23% \$ 7,698,000
ETSU	6.74% \$ 16,070,000
MTSU	6.63% \$ 15,800,000
TSU	4.51% \$ 10,760,000
TTU	6.73% \$ 16,055,000
UoM	11.20% \$ 26,698,000
TBR CC	12.47% \$ 29,728,000
TBR TCAT	11.31% \$ 26,971,000
UT	37.18% \$ 88,640,000
<b>2023-24 Total</b>	<b>100.00% \$ 238,420,000</b>

#### Capital Maintenance Total

Governing Board Share	State Request
ADA Funding	\$ 106,470,000
Safety and Security	\$ 25,000,000
APSU	\$ 7,698,000
ETSU	\$ 16,070,000
MTSU	\$ 15,800,000
TSU	\$ 10,760,000
TTU	\$ 16,055,000
UoM	\$ 26,698,000
TBR	\$ 56,699,000
UT	\$ 88,640,000
<b>2023-24 Total</b>	<b>\$ 369,890,000</b>

1 - A detail, prioritized list of all capital maintenance projects for all higher education is on the following pages (attachments VI and VII).

2 - Shares for each governing board are determined annually by the Sherman Dergis Formula, an industry-standard facility reinvestment formula. The formula calculates an annual estimate for capital maintenance for each campus using aggregate size, age, and use of facilities. Shares here are determined based on each governing board's formula based on 2022-23 usage.

# Capital Projects Recommendation

## ATTACHMENT VI

### THEC 2023-24 TCAT Capital Maintenance Projects Recommendation

Priority	Type	Governing Board	Institution	Project	Total	Cumulative Total
1	Gen. Maint.	TBR	TCAT Hartsville	Wilson County MEP Updates	\$860,000	\$860,000
2	Gen. Maint.	TBR	TCAT Pulaski	East and West Shop and Breezeway Roof	\$832,000	\$1,692,000
3	Gen. Maint.	TBR	TCAT Livingston	HVAC Replacement	\$425,000	\$2,117,000
4	Gen. Maint.	TBR	TCAT Crump	Plumbing and Life/Safety Updates	\$800,000	\$2,917,000
5	Gen. Maint.	TBR	TCAT Memphis	Building and Elevator Updates Phase 1	\$900,000	\$3,817,000
6	Gen. Maint.	TBR	TCAT Dickson	Pump Station and Sewer Line Replacement	\$1,100,000	\$4,917,000
7	Gen. Maint.	TBR	TCAT Jacksboro	Door and Window Replacements	\$450,000	\$5,367,000
8	Gen. Maint.	TBR	TCAT Oneida	Parking Lot Expansion, Repaving & Lighting	\$525,000	\$5,892,000
9	Gen. Maint.	TBR	TCAT Chattanooga	TCAT Buildings 1 and 2 Updates Phase 1	\$1,750,000	\$7,642,000
10	Gen. Maint.	TBR	TCAT Livingston	Exterior and Interior Lighting Updates	\$325,000	\$7,967,000
11	Gen. Maint.	TBR	TCAT Newbern	Maintenance Repairs	\$660,000	\$8,627,000
12	Gen. Maint.	TBR	TCAT Ripley	Maintenance Repairs	\$775,000	\$9,402,000
13	Gen. Maint.	TBR	TCAT Covington	Maintenance Repairs	\$800,000	\$10,202,000
14	Gen. Maint.	TBR	TCAT Morristown	Building 102 Updates Phase 1	\$1,200,000	\$11,402,000
15	Gen. Maint.	TBR	TCAT Murfreesboro	HVAC and Security System Updates	\$875,000	\$12,277,000
16	Gen. Maint.	TBR	TCAT Chattanooga	TCAT Buildings 1 and 2 Updates Phase 2	\$2,625,000	\$14,902,000
17	Gen. Maint.	TBR	TCAT Memphis	Building and Elevator Updates Phase 2	\$887,000	\$15,789,000
18	Gen. Maint.	TBR	TCAT Hartsville	Maintenance Repairs	\$310,000	\$16,099,000
19	Gen. Maint.	TBR	TCAT Pulaski	Lighting, HVAC, and Plumbing Updates	\$845,000	\$16,944,000
20	Gen. Maint.	TBR	TCAT Livingston	Comprehensive Building Plumbing Corrections	\$835,000	\$17,779,000
21	Gen. Maint.	TBR	TCAT Crump	Fire and Security Installation	\$345,000	\$18,124,000
22	Gen. Maint.	TBR	TCAT Morristown	Building 102 Updates Phase 2	\$1,373,000	\$19,497,000
23	Gen. Maint.	TBR	TCAT Livingston	Exterior Door and Window Replacements	\$425,000	\$19,922,000
24	Gen. Maint.	TBR	TCAT Livingston	Parking Lot and Drainage Improvements	\$512,000	\$20,434,000
25	Gen. Maint.	TBR	TCAT Pulaski	Exterior Door Replacements	\$125,000	\$20,559,000
26	Gen. Maint.	TBR	TCAT Livingston	Storm Water Drainage Updates	\$325,000	\$20,884,000
27	Gen. Maint.	TBR	TCAT Chattanooga	TCAT Buildings 1 and 2 Updates Phase 3	\$5,750,000	\$26,634,000
28	Gen. Maint.	TBR	TCAT Chattanooga	Interior Updates	\$337,000	\$26,971,000

# Capital Projects Recommendation

## ATTACHMENT VII

### THEC 2023-24 Capital Maintenance Projects Recommendation

Priority	Type	Governing Board	Institution	Project	Total	Cumulative Total
1	Gen. Maint.	ETSU	ETSU	Multiple Buildings Roof Replacements Phase I	\$650,000	\$650,000
2	Gen. Maint.	TSU	TSU	Electrical Upgrades Phase 4	\$4,230,000	\$4,880,000
3	Gen. Maint.	TTU	TTU	Roaden University Center HVAC Upgrades	\$1,150,000	\$6,030,000
4	Gen. Maint.	TBR	WSCC	Building System Upgrades	\$1,465,000	\$7,495,000
5	Gen. Maint.	TTU	TTU	Multiple Buildings Elevator Upgrades Phase 1	\$870,000	\$8,365,000
6	Gen. Maint.	UT	UTC	Building Envelope Repairs Phase 1	\$3,650,000	\$12,015,000
7	Gen. Maint.	TTU	TTU	Campus-Wide Building Controls Upgrades Phase 2	\$2,025,000	\$14,040,000
8	Gen. Maint.	TBR	CoSCC	Accessibility and Security Upgrades Phase 2	\$1,370,000	\$15,410,000
9	Gen. Maint.	TBR	PSCC	HVAC and Electrical Updates and Repairs Phase 3a	\$990,000	\$16,400,000
10	Gen. Maint.	UT	UTIA	CVM Building Envelope Repairs	\$3,610,000	\$20,010,000
11	Gen. Maint.	TBR	ChSCC	Central Plant Modernization Phase 1	\$1,075,000	\$21,085,000
12	Gen. Maint.	UT	UTK	Steam Plant Improvements	\$3,600,000	\$24,685,000
13	Gen. Maint.	TBR	STCC	Mechanical Systems and Infrastructure Updates Phase 1	\$1,500,000	\$26,185,000
14	Gen. Maint.	TBR	NaSCC	HVAC and Cooling Tower Repairs Phase 2	\$1,000,000	\$27,185,000
15	Gen. Maint.	TSU	TSU	Multiple Building Roof Replacement Phase 1	\$1,600,000	\$28,785,000
16	Gen. Maint.	APSU	APSU	Building Management System Upgrades	\$1,570,000	\$30,355,000
17	Gen. Maint.	UT	UTM	Elam Center Exterior Wall Repairs	\$4,000,000	\$34,355,000
18	Gen. Maint.	TBR	VSCC	Domestic Water Improvements Phase 1	\$1,250,000	\$35,605,000
19	Gen. Maint.	MTSU	MTSU	Central Plant and Campus Utilities Updates Phase 1	\$3,000,000	\$38,605,000
20	Gen. Maint.	UoM	UoM	Multiple Building Elevator and Fire Alarms	\$5,340,000	\$43,945,000
21	Gen. Maint.	ETSU	ETSU	Multiple Buildings Roof Replacements Phase II	\$3,150,000	\$47,095,000
22	Gen. Maint.	TTU	TTU	Derryberry Hall Upgrades Phase 1	\$2,480,000	\$49,575,000
23	Gen. Maint.	UT	UTS	Building Envelope Repair	\$2,000,000	\$51,575,000
24	Gen. Maint.	TBR	RSCC	Multiple Building HVAC Corrections Phase 2	\$1,200,000	\$52,775,000
25	Gen. Maint.	TBR	JSCC	Multiple Building HVAC Updates	\$915,000	\$53,690,000
26	Gen. Maint.	UT	UTK	Second Creek Repair & Storm Sewer Replacement	\$2,880,000	\$56,570,000
27	Gen. Maint.	TBR	MSCC	Backup Power and Utility Upgrades Phase 1	\$1,050,000	\$57,620,000
28	Gen. Maint.	TBR	NeSCC	Maintenance Building Exterior Updates	\$800,000	\$58,420,000
29	Gen. Maint.	UT	UTC	Building Envelope Repairs Phase 2	\$3,700,000	\$62,120,000
30	Gen. Maint.	TBR	DSCC	Campus Paving and Resurfacing	\$1,125,000	\$63,245,000
31	Gen. Maint.	TBR	CISCC	Library Modernization Phase 1	\$1,050,000	\$64,295,000
32	Gen. Maint.	ETSU	ETSU	Safety Lighting and Fire Alarm System Phase I	\$1,600,000	\$65,895,000
33	Gen. Maint.	MTSU	MTSU	Central Plant and Campus Utilities Updates Phase 2	\$2,000,000	\$67,895,000
34	Gen. Maint.	TBR	VSCC	CHEC Lab and System Updates	\$950,000	\$68,845,000
35	Gen. Maint.	TBR	JSCC	Multiple Building Reroofing	\$895,000	\$69,740,000
36	Gen. Maint.	UT	UTHSC	GEB Systems Upgrades	\$7,480,000	\$77,220,000
37	Gen. Maint.	TBR	PSCC	HVAC and Electrical Updates and Repairs Phase 3b	\$850,000	\$78,070,000
38	Gen. Maint.	UT	UTM	Building Envelope Repairs	\$1,770,000	\$79,840,000
39	Gen. Maint.	TBR	ChSCC	Central Plant Modernization Phase 2	\$1,075,000	\$80,915,000
40	Gen. Maint.	TBR	NaSCC	Elevator Modernization and Updates	\$300,000	\$81,215,000
41	Gen. Maint.	UoM	UoM	Dunn, Field House HVAC Replacement	\$5,000,000	\$86,215,000
42	Gen. Maint.	TBR	JSCC	Fire Alarm Upgrades	\$495,000	\$86,710,000
43	Gen. Maint.	TTU	TTU	Bryan Fine Arts Auditorium Upgrades	\$2,300,000	\$89,010,000
44	Gen. Maint.	TBR	NeSCC	Chiller Replacement	\$490,000	\$89,500,000
45	Gen. Maint.	TBR	PSCC	Chiller Replacement	\$648,000	\$90,148,000
46	Gen. Maint.	UT	UTK	A&A Windows Replacement & Masonry Repair	\$5,400,000	\$95,548,000
47	Gen. Maint.	TBR	WSCC	Morristown Campus Exterior Upgrades	\$880,000	\$96,428,000
48	Gen. Maint.	APSU	APSU	Dunn Center HVAC & Electrical Upgrades Ph 2	\$2,000,000	\$98,428,000
49	Gen. Maint.	MTSU	MTSU	Central Plant and Campus Utilities Updates Phase 3	\$2,000,000	\$100,428,000
50	Gen. Maint.	TSU	TSU	Multiple Building Roof Replacement 2B	\$3,100,000	\$103,528,000
51	Gen. Maint.	TBR	STCC	Building Envelope Repairs	\$1,600,000	\$105,128,000
52	Gen. Maint.	TBR	CoSCC	Several Buildings Exterior Repairs	\$1,200,000	\$106,328,000
53	Gen. Maint.	TTU	TTU	Roof Replacements	\$1,140,000	\$107,468,000

# Capital Projects Recommendation

## ATTACHMENT VII

### THEC 2023-24 Capital Maintenance Projects Recommendation

Priority	Type	Governing Board	Institution	Project	Total	Cumulative Total
54	Gen. Maint.	TBR	NeSCC	Library Interior Updates	\$425,000	\$107,893,000
55	Gen. Maint.	ETSU	ETSU	Multiple Buildings HVAC Repairs Phase I	\$3,090,000	\$110,983,000
56	Gen. Maint.	TSU	TSU	Multiple Building Steam Systems Upgrades	\$750,000	\$111,733,000
57	Gen. Maint.	TBR	VSCC	Domestic Water Improvements Phase 2	\$1,250,000	\$112,983,000
58	Gen. Maint.	UT	UTIA	Morgan Hall HVAC Improvements	\$8,350,000	\$121,333,000
59	Gen. Maint.	TBR	ChSCC	CBIH Building Envelope Waterproofing	\$450,000	\$121,783,000
60	Gen. Maint.	UoM	UoM	Selected Building Exterior Repairs and Reroofing	\$4,000,000	\$125,783,000
61	Gen. Maint.	TBR	RSCC	Parking Lot/Drainage/Sidewalk Repairs	\$1,350,000	\$127,133,000
62	Gen. Maint.	MTSU	MTSU	Multiple Buildings Roof Repairs and Replacements	\$1,920,000	\$129,053,000
63	Gen. Maint.	UT	UTHSC	Storage Tank Replacement	\$3,750,000	\$132,803,000
64	Gen. Maint.	ETSU	ETSU	ETSU Valleybrook Sewer	\$1,000,000	\$133,803,000
65	Gen. Maint.	TBR	CISCC	Library Modernization Phase 2	\$1,050,000	\$134,853,000
66	Gen. Maint.	UT	UTS	Electrical Systems Upgrade	\$2,000,000	\$136,853,000
67	Gen. Maint.	TBR	VSCC	Roof Replacements Phase 2	\$620,000	\$137,473,000
68	Gen. Maint.	TBR	CISCC	Administration Roof Replacement	\$410,000	\$137,883,000
69	Gen. Maint.	TSU	TSU	Floyd Payne Campus Center and Kean Hall Lighting and Cont	\$1,080,000	\$138,963,000
70	Gen. Maint.	UT	UTC	Building Envelope Repairs Ph III	\$3,650,000	\$142,613,000
71	Gen. Maint.	UT	UTK	Science & Engineering Bldg. Utilities Upgrades	\$3,000,000	\$145,613,000
72	Gen. Maint.	UT	UTM	Mechanical Systems Controls Upgrade	\$1,390,000	\$147,003,000
73	Gen. Maint.	UoM	UoM	Admin / Scates / Hayden Boiler Replace	\$4,000,000	\$151,003,000
74	Gen. Maint.	MTSU	MTSU	Multiple Buildings Structural and Exterior Envelope Repairs	\$2,000,000	\$153,003,000
75	Gen. Maint.	APSU	APSU	Dunn Center HVAC & Electrical Upgrades Ph 3	\$2,000,000	\$155,003,000
76	Gen. Maint.	UT	UTHSC	Roof Replacements	\$3,130,000	\$158,133,000
77	Gen. Maint.	UT	UTK	Stokely Mgt Cntr Elevator Upgrades	\$1,500,000	\$159,633,000
78	Gen. Maint.	TTU	TTU	Utility Infrastructure Upgrades 1.1	\$3,030,000	\$162,663,000
79	Gen. Maint.	UT	UTC	Building Envelope Repairs Ph IV	\$2,510,000	\$165,173,000
80	Gen. Maint.	ETSU	ETSU	Multiple Buildings Electrical Upgrades Phase I	\$3,080,000	\$168,253,000
81	Gen. Maint.	UT	UTK	Communications Water Intrusion Repairs	\$1,560,000	\$169,813,000
82	Gen. Maint.	UoM	UoM	Lambuth Multiple Building Repairs	\$2,500,000	\$172,313,000
83	Gen. Maint.	MTSU	MTSU	Campus Wide Life Safety Systems Updates	\$1,500,000	\$173,813,000
84	Gen. Maint.	UT	UTM	Multiple Buildings Roof Replacements	\$1,900,000	\$175,713,000
85	Gen. Maint.	UT	UTK	Underground Electrical Distribution Upgrades	\$1,800,000	\$177,513,000
86	Gen. Maint.	UoM	UoM	Electrical Service Replacement	\$2,000,000	\$179,513,000
87	Gen. Maint.	UT	UTHSC	Window & Masonry Repairs	\$5,000,000	\$184,513,000
88	Gen. Maint.	TTU	TTU	Utility Infrastructure Upgrades 1.2	\$1,940,000	\$186,453,000
89	Gen. Maint.	UT	UTK	Agriculture Campus Water Line Upgrades	\$3,900,000	\$190,353,000
90	Gen. Maint.	ETSU	ETSU	Multiple Buildings Elevator Upgrades Phase 1	\$2,500,000	\$192,853,000
91	Gen. Maint.	MTSU	MTSU	Multiple Buildings Elevator Modernization	\$2,500,000	\$195,353,000
92	Gen. Maint.	UT	UTM	North Plant Chiller Replacement	\$2,500,000	\$197,853,000
93	Gen. Maint.	UT	UTK	HPER Exterior Improvements	\$2,100,000	\$199,953,000
94	Gen. Maint.	MTSU	MTSU	Multiple Buildings Hydronic Systems Renovation	\$480,000	\$200,433,000
95	Gen. Maint.	TTU	TTU	University Services Building Mechanical Upgrades	\$1,120,000	\$201,553,000
96	Gen. Maint.	APSU	APSU	Sundquist Roof Replacement	\$2,128,000	\$203,681,000
97	Gen. Maint.	MTSU	MTSU	Campus Wide Sidewalk Repair and Replacements	\$400,000	\$204,081,000
98	Gen. Maint.	ETSU	ETSU	Code and Accessibility Upgrades	\$ 1,000,000	\$205,081,000
99	Gen. Maint.	UoM	UoM	Park Campus Water / Sewer line Replacement	\$3,858,000	\$208,939,000
100	Gen. Maint.	UT	UTHSC	Facilities Physical Plant Building Upgrades	\$2,510,000	\$211,449,000

# Capital Projects Recommendation ATTACHMENT VIII

## THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2024-25 through 2027-28

Austin Peay State University		Project Cost
2024-25	MMC Roof Replacement	\$ 2,200,000
2024-25	Boiler Installation Phase 2	\$ 1,500,000
2024-25	Underground Electrical Replacement Ph. 6	\$ 2,100,000
2024-25	Roof Condition Assessment	\$ 250,000
2024-25	Sundquist Exhaust Controls Replacement Ph. 2	\$ 1,278,000
2025-26	Chiller Replacement & Plant Upgrades	\$ 2,550,000
2025-26	Boiler Installation Phase 3	\$ 1,500,000
2025-26	Exterior Masonry Assessment	\$ 250,000
2025-26	Fire Alarm Replacement	\$ 1,500,000
2025-26	Multi-building Reroof	\$ 1,528,000
2026-27	Multi-building Reroof, Ph 2	\$ 2,000,000
2026-27	Multi-building Exterior Repairs	\$ 1,000,000
2026-27	Library HVAC Replacement & Electrical Upgrades	\$ 2,328,000
2026-27	Boiler Installation, Ph. 4	\$ 2,000,000
2027-28	Underground Electrical Replacement, Ph. 7	\$ 2,328,000
2027-28	Fire Alarm Replacement	\$ 1,000,000
2027-28	Chiller Replacement & Plant Upgrades	\$ 1,500,000
2027-28	Boiler Installation, Ph 5	\$ 2,500,000
<b>18 Projects</b>		<b>\$ 29,312,000</b>

East Tennessee State University		Project Cost
2024-25	Multiple Buildings Roof Replacements	\$ 3,800,000
2024-25	Safety Lighting and Fire Alarm System Phase II	\$ 1,600,000
2024-25	Multiple Buildings HVAC Repairs Phase II	\$ 3,400,000
2024-25	Multiple Buildings Electrical Upgrades Phase II	\$ 1,400,000
2024-25	Multiple Buildings Elevator Repairs and Upgrades Phase II	\$ 2,501,000
2024-25	Multiple Buildings Controls Repair and Replacement	\$ 3,000,000
2024-25	Code and Accessibility Upgrades	\$ 1,000,000
2024-25	Repair and Replace Campus Water Lines	\$ 1,500,000
2025-26	Multiple Buildings Roof Replacements	\$ 3,900,000
2025-26	Multiple Buildings HVAC Repairs	\$ 3,500,000
2025-26	Multiple Buildings Elevator Repairs and Upgrades	\$ 2,000,000
2025-26	Multiple Buildings Envelope Repairs	\$ 3,000,000
2025-26	Code and Accessibility Upgrades	\$ 1,500,000
2025-26	Repair and Replace Campus Water Lines	\$ 2,000,000
2025-26	Sewer Main Repairs and Line Improvements	\$ 2,000,000
2025-26	Multiple Buildings Electrical Repairs and Upgrades	\$ 1,400,000
2026-27	Multiple Buildings Roof Replacements	\$ 3,900,000
2026-27	Multiple Buildings HVAC Repairs	\$ 3,500,000
2026-27	Multiple Buildings Elevator Repairs and Upgrades	\$ 2,000,000
2026-27	Multiple Buildings Envelope Repairs	\$ 3,000,000
2026-27	Code and Accessibility Upgrades	\$ 1,000,000
2026-27	Repair and Replace Campus Water Lines	\$ 2,000,000
2026-27	Sewer Main Repairs and Line Improvements	\$ 2,000,000
2026-27	Multiple Buildings Electrical Repairs and Upgrades	\$ 1,400,000
2026-27	Steam Line Upgrades	\$ 3,000,000
2027-28	Multiple Buildings Roof Replacements	\$ 3,900,000
2027-28	Multiple Buildings HVAC Repairs	\$ 3,500,000
2027-28	Multiple Buildings Elevator Repairs and Upgrades	\$ 2,000,000
2027-28	Multiple Buildings Envelope Repairs	\$ 3,000,000
2027-28	Code and Accessibility Upgrades	\$ 1,500,000
2027-28	Repair and Replace Campus Water Lines	\$ 2,000,000
2027-28	Sewer Main Repairs and Line Improvements	\$ 2,000,000
2027-28	Multiple Buildings Electrical Repairs and Upgrades	\$ 1,400,000
2027-28	Steam Line Upgrades	\$ 3,000,000
<b>34 Projects</b>		<b>\$ 81,601,000</b>

Middle Tennessee State University		Project Cost
2024-25	Central Plant and Campus Utilities Updates Phase 4	\$ 3,000,000
2024-25	Multiple Buildings Roof Replacements	\$ 2,000,000
2024-25	Multiple Buildings Plumbing & Restroom Upgrades	\$ 3,000,000
2024-25	Campus Wide Access Control and Security Updates	\$ 1,500,000
2024-25	Multiple Buildings Mechanical and Controls Updates	\$ 1,500,000
2025-26	KUC Mechanical and HVAC Upgrades Phase II	\$ 1,500,000
2025-26	Multiple Buildings Elevator Modernization	\$ 1,500,000
2025-26	Multiple Buildings Roof Replacements	\$ 2,000,000
2025-26	Non-commercial Building Updates	\$ 500,000
2025-26	Campus Wide Life Safety Systems Updates	\$ 1,000,000
2025-26	Multiple Buildings Structural and Exterior Envelope Repairs	\$ 2,500,000
2026-27	Multiple Buildings Elevator Modernization	\$ 3,000,000
2026-27	Central Plant and Campus Utilities Updates Phase 3	\$ 3,000,000
2026-27	Campus Stormwater BMP Phase II	\$ 1,000,000
2026-27	Multiple Buildings Structural and Exterior Envelope Repairs	\$ 2,550,000
2026-27	Campus Wide Sidewalk Repair and Replacements	\$ 1,000,000
2027-28	Multiple Buildings Mechanical and Controls Updates	\$ 1,500,000
2027-28	Multiple Buildings Electrical Infrastructure Upgrades	\$ 3,000,000
2027-28	Multiple Buildings Roof Replacements	\$ 2,500,000
2027-28	Multiple Buildings Mechanical and Controls Updates	\$ 2,500,000
2027-28	Multiple Buildings Hydronic Systems Repairs and Replacements	\$ 1,000,000
2027-28	WMOT Tower Replacement / Refurbishment	\$ 2,500,000
<b>22 Projects</b>		<b>\$ 43,550,000</b>

Tennessee State University		Project Cost
2024-25	Lawson Hall HVAC and MEP Upgrades	\$ 1,500,000
2024-25	Boswell Window Replacements	\$ 800,000
2024-25	Campus Center Window Replacements	\$ 1,000,000
2024-25	HM Love Renovation Phase 2	\$ 3,000,000
2024-25	FPCC Kitchen Elevator Upgrade	\$ 500,000
2024-25	Multi Building Roof Upgrades	\$ 1,000,000
2025-26	Crouch Hall HVAC and MEP Upgrades	\$ 2,000,000
2025-26	Harned Hall Lead Paint Remediation	\$ 400,000
2025-26	Hankal Hall Envelope Upgrades	\$ 1,500,000
2025-26	CARP HVAC and MEP	\$ 1,000,000
2025-26	RASP HVAC MEP Upgrades	\$ 1,500,000
2025-26	Gentry Fire Alarm Upgrades	\$ 600,000
2025-26	Multi Building Roof Upgrades	\$ 1,000,000
2026-27	McCord Hall Interior Finishes Upgrades	\$ 500,000
2026-27	McWherter Interior Lighting Upgrades	\$ 200,000
2026-27	Avon Williams Lighting Upgrades	\$ 1,500,000
2026-27	Multi Building Roof Upgrades	\$ 500,000
2026-27	Operations Building Envelope Upgrades	\$ 500,000
2026-27	Boswell Elevator Upgrade	\$ 500,000
2026-27	McWherter HVAC and MEP Upgrades	\$ 2,000,000
2026-27	Incubation Center Envelope Upgrades	\$ 1,000,000
2027-28	Multi Building Roof Upgrades	\$ 3,000,000
2027-28	Operations HVAC and MEP Upgrades	\$ 1,500,000
2027-28	Incubation Interior, FA, MEP Upgrades	\$ 1,000,000
2027-28	Gentry Interior Upgrades	\$ 1,000,000
2027-28	Goodwill Manor Envelope Upgrades	\$ 500,000
2027-28	FPCC/Kean Hall Interior Fire Doors	\$ 1,000,000
<b>27 Projects</b>		<b>\$ 30,500,000</b>

# Capital Projects Recommendation ATTACHMENT VIII

## THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2024-25 through 2027-28

Tennessee Technological University		Project Cost
2024-25	Boiler Replacement	\$ 2,025,000
2024-25	Bryan Fine Arts Building Exterior Repairs	\$ 1,200,000
2024-25	Hyder-Burks Arena Upgrades	\$ 1,140,000
2024-25	Foundation Hall Upgrades Phase 1	\$ 20,500,000
2024-25	Derryberry Hall Upgrades Phase 2	\$ 8,400,000
2024-25	Utility Infrastructure Upgrades 2	\$ 5,000,000
2024-25	Stormwater System Repairs	\$ 500,000
2024-25	Multiple Buildings Elevator Upgrades Phase 2	\$ 2,100,000
2025-26	Foundation Hall Upgrades Phase 2	\$ 13,100,000
2025-26	Utility Infrastructure Upgrades 3	\$ 5,000,000
2025-26	Memorial Gym Pool Dehumidification	\$ 500,000
2025-26	University Services Building Exterior Updates	\$ 1,500,000
2025-26	Military Science Building MPE Systems Replacement	\$ 500,000
2025-26	Multiple Buildings Elevator Upgrades Phase 3	\$ 2,000,000
2025-26	Steam Plant Improvements	\$ 1,750,000
2026-27	Utility Infrastructure Upgrades	\$ 5,000,000
2026-27	Campus-Wide Building Controls Upgrades Phase 2	\$ 1,850,000
2026-27	Campus-wide Building Envelope Repairs	\$ 3,000,000
2027-28	Utility Infrastructure Upgrades	\$ 5,000,000
2027-28	Campus-wide Building Envelope Repairs	\$ 3,000,000
<b>20 Projects</b>		<b>\$ 83,065,000</b>

University of Memphis		Project Cost
2024-25	Administration, Johnson, Scates HVAC Replacement	\$ 8,000,000
2024-25	Generator / Transformer / Electrical panel Replace	\$ 4,000,000
2024-25	HVAC Controls Replacement	\$ 2,000,000
2024-25	Campus-Wide Lighting Replacement	\$ 4,000,000
2024-25	Life Sciences and Chemistry HVAC Replacement	\$ 6,000,000
2024-25	Multiple Building Roof Replacement	\$ 3,750,000
2025-26	Deferred Maintenance	\$ 27,000,000
2026-27	Deferred Maintenance	\$ 27,000,000
2027-28	Deferred Maintenance	\$ 27,000,000
<b>9 Projects</b>		<b>\$ 108,750,000</b>

Tennessee Board of Regents		Project Cost
2024-25	ChSCC CETAS Lift Station Replacement	\$ 675,000
2024-25	PSCC Network Security Updates	\$ 990,300
2024-25	ChSCC CETAS Sculpture Lab Re-roof	\$ 555,000
2024-25	CISCC Johnson Building Roof Replacement	\$ 310,000
2024-25	MSCC Fayetteville Roof Replacement and exterior repairs	\$ 1,000,000
2024-25	MSCC Backup Power and Utility Upgrades Phase 2	\$ 1,050,000
2024-25	STCC Lighting Safety Upgrades	\$ 2,500,000
2025-26	VSCC Parking Lot Improvements	\$ 735,000
2025-26	DSCC CAB Auditorium Upgrades	\$ 1,100,000
2025-26	PSCC Magnolia Campus ADA Corrections	\$ 592,000
2025-26	PSCC Campus Building Access Control	\$ 339,000
2025-26	NeSCC Humanities and Pierce Roof Replacements	\$ 785,000
2025-26	STCC Mechanical Systems and Infrastructure Updates Phase 2	\$ 2,300,000
2025-26	PSCC McWherter and Alexander Building Elevators	\$ 1,100,000
2025-26	JSCC Electrical Distribution Upgrades	\$ 1,425,000
2025-26	ChSCC CETAS Sculpture Lab Modernization	\$ 2,625,000
2025-26	CoSCC Powerhouse Updates	\$ 475,000
2026-27	MSCC HVAC Equipment and Controls Upgrades	\$ 1,500,000
2026-27	CoSCC Plumbing and Drainage Repairs	\$ 1,864,000
2026-27	NeSCC Faculty and Student Services HVAC Replacement	\$ 1,880,000
2026-27	CoSCC Campus Electrical Systems Updates	\$ 1,500,000
2026-27	CoSCC Accessibility and Security Upgrades Phase 3	\$ 1,310,000
2026-27	PSCC Building Envelope Repairs	\$ 800,000
2026-27	ChSCC Health Science Center Envelope Repairs	\$ 765,000
2026-27	PSCC Paving Repairs	\$ 950,000
2026-27	VSCC Lighting Improvements	\$ 380,000
2026-27	DSCC Jimmy Naifeh Center Paving & Resurfacing	\$ 675,000
2027-28	JSCC Window Replacements	\$ 1,395,000
2027-28	PSCC Egress Codes Renovation	\$ 2,723,000
2027-28	NeSCC Auto Shop Exterior Updates	\$ 660,000
2027-28	NeSCC Parking Lot and Road Repairs	\$ 900,000
2027-28	NeSCC Parking Lot Paving	\$ 490,000
2027-28	PSCC Division Street Science Lab Renovations	\$ 2,090,000
2027-28	DSCC Campus Window Replacement	\$ 1,100,000
2027-28	MSCC Interior Building Repairs	\$ 1,500,000
2027-28	CoSCC Interior Finishes Updates	\$ 1,223,000
2027-28	VSCC Pickel Restroom ADA Improvements	\$ 1,000,000
<b>37 Projects</b>		<b>\$ 43,261,300</b>

# Capital Projects Recommendation ATTACHMENT VIII

## THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2024-25 through 2027-28

University of Tennessee				Project Cost
2024-25	UTIA	Vet Research Ed Center Repairs	\$	5,130,000
2024-25	UTS	Johnston Center Upgrades	\$	5,000,000
2024-25	UTK	UTSI Multiple Buildings, Site & Infrastructure Repairs	\$	4,000,000
2024-25	UTC	Cadek Hall Upgrades	\$	8,100,000
2024-25	UTK	Utility Upgrades	\$	3,000,000
2024-25	UTHSC	Van Vleet Systems Upgrades	\$	4,990,000
2024-25	UTK	Blount Hall Envelope Repairs	\$	2,640,000
2024-25	UTS	Turner Center Repairs	\$	530,000
2024-25	UTK	Student Services Elevator Upgrades	\$	2,500,000
2024-25	UTIA	REC-Building Upgrades	\$	5,500,000
2024-25	UTK	Claxton/Bailey HVAC Upgrades	\$	3,000,000
2024-25	UTM	Storm and Sewer Line Upgrades	\$	1,700,000
2024-25	UTK	Hesler Biology Flat Roof Replacement	\$	1,440,000
2024-25	UTC	Patten & Danforth Upgrades	\$	5,000,000
2024-25	UTHSC	Dunn Building HVAC Upgrades	\$	4,990,000
2024-25	UTIA	Clyde York 4-H Center Upgrades	\$	3,500,000
2024-25	UTM	Restroom Upgrades	\$	2,400,000
2024-25	UTHSC	Coleman HVAC Improvements	\$	3,500,000
2025-26	UTK	Pedestrian and Vehicle Bridge Repairs	\$	3,000,000
2025-26	UTIA	Ridley 4-H Cntr Improvements	\$	3,500,000
2025-26	UTM	Fiber Optic Network Upgrade	\$	1,520,000
2025-26	UTIA	UT Ext. Central Region Improvements	\$	2,700,000
2025-26	UTHSC	910 Madison Bldg HVAC Improvements	\$	3,900,000
2025-26	UTK	ORNL JIBS and JINS Buildings Roof Replacements	\$	3,900,000
2025-26	UTC	801 McCallie Improvements	\$	4,850,000
2025-26	UTK	McClung Tower Elevator Upgrades	\$	1,200,000
2025-26	UTHSC	920 Madison Bldg HVAC Improvements	\$	3,900,000
2025-26	UTK	Domestic Water Service Replacement	\$	2,400,000
2025-26	UTC	Elevator Upgrades	\$	5,000,000
2025-26	UTIA	Blount Farm Improvements	\$	3,500,000
2025-26	UTK	Electric Distribution & Switchgear Replacement	\$	3,600,000
2025-26	UTC	Founders Hall Renovations	\$	7,600,000
2025-26	UTK	Blount Hall HVAC Improvements	\$	1,600,000
2025-26	UTK	Student Services HVAC Improvements	\$	3,000,000
2025-26	UTS	Martin Hall Upgrades	\$	3,100,000
2025-26	UTK	McClung Tower HVAC	\$	3,000,000
2025-26	UTK	Nielsen Physics Flat Roof Replacement	\$	1,400,000
2025-26	UTM	Clement Systems Upgrade - South Wing	\$	13,300,000
2025-26	UTK	Hodges Library Replace Obsolete Electrical	\$	1,000,000
2026-27	UTK	Kingston Pike Building HVAC Upgrades and Roof Replacement	\$	3,000,000
2026-27	UTS	Reveille Upgrades	\$	970,000
2026-27	UTHSC	Alexander 4th Floor Interior Improvements	\$	2,010,000
2026-27	UTIA	NETREC Improvements	\$	3,500,000
2026-27	UTC	HVAC Upgrades	\$	6,500,000
2026-27	UTK	TREC-Steam Plant Steam Distribution Improvements	\$	4,000,000

University of Tennessee (continued)				Project Cost
2026-27	UTK	UTSI Infrastructure Upgrades, Phase IV	\$	2,250,000
2026-27	UTIA	MTREC Improvements	\$	3,500,000
2026-27	UTHSC	SAC Interior Improvements	\$	1,260,000
2026-27	UTC	HVAC Upgrades II	\$	4,800,000
2026-27	UTK	Hensen Hall Electrical System Upgrades	\$	2,000,000
2026-27	UTK	Steam Distribution System Improvements 2026-27	\$	1,500,000
2026-27	UTM	Elam Center Pool Upgrade	\$	4,030,000
2026-27	UTK	Andy Holt Tower Windows Replacements and Masonry Repairs	\$	2,500,000
2026-27	UTIA	Lone Oaks Farm Improvements	\$	4,000,000
2026-27	UTK	Multiple Buildings HVAC System and Controls Improvements	\$	3,500,000
2026-27	UTC	Central Plant Chillers & Generator	\$	5,650,000
2026-27	UTK	Art and Architecture HVAC Upgrades Phase 1	\$	3,000,000
2026-27	UTK	Dougherty Engineering Elevator Upgrade	\$	1,250,000
2026-27	UTK	Steam Plant Upgrades	\$	1,500,000
2026-27	UTM	McCombs Building System Upgrade	\$	5,350,000
2026-27	UTK	Clarence Brown Theatre HVAC Upgrades	\$	3,000,000
2026-27	UTK	Nielsen Physics Windows Replacement and Masonry Repair	\$	2,200,000
2026-27	UTK	Nielsen Physics HVAC Upgrades	\$	2,000,000
2027-28	UTK	Dougherty Engineering Window Replacement and Masonry Repairs	\$	2,250,000
2027-28	UTHSC	GEB Improvements	\$	1,260,000
2027-28	UTM	Elevator Upgrades	\$	1,875,000
2027-28	UTS	Andrews Science Upgrades	\$	1,530,000
2027-28	UTC	Emergency Generators Upgrades	\$	2,900,000
2027-28	UTK	Fleming Warehouse Improvements	\$	1,750,000
2027-28	UTIA	BESS HVAC Improvements	\$	5,000,000
2027-28	UTK	Jessie Harris Roof Replacement	\$	1,200,000
2027-28	UTS	Chancellor House Upgrades	\$	830,000
2027-28	UTK	Steam line replacement 13th street from Cumberland to Jessie Harris	\$	750,000
2027-28	UTK	Stokely Management Center HVAC Upgrades	\$	3,500,000
2027-28	UTM	AG & Facilities Greenhouse Upgrade	\$	2,100,000
2027-28	UTIA	Clyde Austin 4-H Center Improvements	\$	3,500,000
2027-28	UTC	Central Energy Plant Distribution Improvements	\$	6,000,000
2027-28	UTK	Steam Distribution Upgrades	\$	1,500,000
2027-28	UTC	Electrical Improvements	\$	4,600,000
2027-28	UTK	Electrical Distribution Improvements	\$	2,000,000
2027-28	UTIA	Morgan Hall HVAC Improvements	\$	5,000,000
2027-28	UTK	McClung Museum System Upgrades	\$	3,500,000
2027-28	UTM	Sociology Building Systems Upgrade	\$	4,900,000
2027-28	UTK	Art and Architecture HVAC Upgrades Phase 2	\$	3,000,000
2027-28	UTC	Fire Protection Upgrades	\$	3,200,000
2027-28	UTIA	REC Central Region Improvements	\$	3,500,000
2027-28	UTM	Fire Alarm Upgrades	\$	1,275,000
2027-28	UTC	Pfeiffer Hall Renovations	\$	8,400,000
2027-28	UTM	Gooch Hall Electrical Upgrade	\$	760,000
<b>89 Projects</b>				<b>\$ 293,240,000</b>

Total Out Year Projects		Project Cost	
18	Austin Peay State University	\$	29,312,000
34	East Tennessee State University	\$	81,601,000
22	Middle Tennessee State University	\$	43,550,000
27	Tennessee State University	\$	30,500,000
20	Tennessee Technological University	\$	83,065,000
9	University of Memphis	\$	108,750,000
37	Tennessee Board of Regents	\$	43,261,300
89	University of Tennessee	\$	293,240,000
256	Total Capital Maintenance Projects Out Years		

# Capital Projects Recommendation

## ATTACHMENT IX

### THEC 2023-24 Disclosure of Revenue-Funded Capital Projects

#### Locally Governed Institutions

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Grants	Auxiliary	Gift-in-Place	Plant Funds
ETSU	Campus Wide Paving and Repair Project	Plant Funds (Aux-Parking) (A)	\$ 300,000	-	\$ -	\$ -	\$ -	\$ 300,000	\$ -	\$ -
ETSU	Millennium Center Parking Garage Elevator Upgrades	Plant Funds (Aux-Parking) (A)	\$ 350,000	-	\$ -	\$ -	\$ -	\$ 350,000	\$ -	\$ -
UoM	Liberty Stadium Improvements	Various	\$ 200,000,000	-	\$ -	\$ 200,000,000	\$ -	\$ -	\$ -	\$ -
UoM	Performance Contracting	Auxiliary	\$ 15,000,000	-	\$ -	\$ -	\$ -	\$ 15,000,000	\$ -	\$ -
<b>4 Projects</b>	<b>TOTAL LGI REVENUE-FUNDED</b>		<b>\$ 215,650,000</b>	<b>-</b>	<b>-</b>	<b>\$ 200,000,000</b>	<b>\$ -</b>	<b>\$15,650,000</b>	<b>\$ -</b>	<b>\$ -</b>

#### Tennessee Board of Regents

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Grants	Auxiliary	Gift-in-Place	Plant Funds
VSCC	Exterior Repairs	Plant Funds	\$ 375,000	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 375,000
VSCC	Hardscape Improvements	Plant Funds	\$ 770,000	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 770,000
VSCC	McCormick Remodel	Plant Funds	\$ 6,300,000	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,300,000
<b>3 Projects</b>	<b>TOTAL TBR REVENUE-FUNDED</b>		<b>\$ 7,445,000</b>	<b>-</b>	<b>-</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 7,445,000</b>

#### University of Tennessee

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Grants	Auxiliary	Gift-in-Place	Plant Funds
UTC	Dining Services Refresh	Plant Funds (Aux-Dining)	\$ 3,000,000					\$ 3,000,000		
UTC	Fletcher Hall Dining	Plant Funds (Aux-Dining)	\$ 360,000	2,100				\$ 360,000		
UTC	Fletcher Hall Parking	Plant Funds (Aux-Parking)	\$ 1,660,000	17,000				\$ 1,660,000		
UTC	Parking Lot & Paving Improvements	Plant Funds (Aux-Parking)	\$ 1,500,000	-				\$ 1,500,000		
UTIA	Clyde Austin 4-H Center Improvements	Gifts/Plant Funds (Non-Aux)	\$ 1,000,000	-		\$ 500,000				\$ 500,000
UTIA	Clyde York 4-H Center Improvements	Gifts/Plant Funds (Non-Aux)	\$ 1,000,000	-		\$ 500,000				\$ 500,000
UTIA	CVM Building Improvements	Gifts	\$ 2,500,000	-		\$ 2,500,000				
UTIA	Fusion Center	Gifts/Federal Funds	\$ 62,500,000	80,000		\$ 42,500,000	\$ 20,000,000			
UTIA	Lone Oaks 4-H Center Improvements	Gifts/Plant Funds (Non-Aux)	\$ 1,000,000	-		\$ 500,000				\$ 500,000
UTIA	Meat Science Lab Facility	Federal Funds	\$ 12,500,000	14,000			\$ 12,500,000			
UTIA	NextGen Broiler Facility	Federal Funds	\$ 3,350,000	121,000			\$ 3,350,000			
UTIA	REC-Central Region Improvements	Plant Funds (Non-Aux)	\$ 2,500,000	-						\$ 2,500,000
UTIA	REC-East Region Improvements	Plant Funds (Non-Aux)	\$ 2,500,000	-						\$ 2,500,000
UTIA	REC-Western Region Improvements	Plant Funds (Non-Aux)	\$ 2,500,000	-						\$ 2,500,000
UTIA	Ridley 4-H Center Improvements	Gifts/Plant Funds (Non-Aux)	\$ 1,000,000	-		\$ 500,000				\$ 500,000
UTK	Classroom Upgrades	Plant Funds (Non-Aux)	\$ 5,000,000	-						\$ 5,000,000
UTK	Dining Services Renovations	Plant Funds (Aux-Dining)	\$ 1,500,000	-				\$ 1,500,000		
UTK	Laboratory Upgrades	Plant Funds (Non-Aux)	\$ 5,000,000	-						\$ 5,000,000
UTK	Parking & Roadway Improvements	Plant Funds (Aux-Parking)	\$ 500,000	-				\$ 500,000		
UTK	Volunteer Hall Fire Safety Upgrades	Plant Funds (Aux-Housing)	\$ 3,000,000	-				\$ 3,000,000		
UTK	UT College of Business	Gifts, Plant Funds (Non-Aux)	\$ 127,350,000	306,800		\$ 91,500,000		\$ -	\$ -	\$ 35,850,000
<b>21 Projects</b>	<b>TOTAL UT REVENUE-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 241,220,000</b>	<b>540,900</b>	<b>\$ -</b>	<b>\$ 138,500,000</b>	<b>\$35,850,000</b>	<b>\$11,520,000</b>	<b>\$ -</b>	<b>\$55,350,000</b>

<b>28 Projects</b>	<b>TOTAL ALL REVENUE-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 464,315,000</b>	<b>540,900</b>	<b>\$ -</b>	<b>\$ 338,500,000</b>	<b>\$35,850,000</b>	<b>\$27,170,000</b>	<b>\$ -</b>	<b>\$62,795,000</b>
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## Capital Projects Recommendation ATTACHMENT X

### THEC 2023-24 Disclosure of Tennessee State School Bond Authority Funded Capital Projects

Institution Project		Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Grants	Auxiliary	Gift-in-Place	Plant Funds
ETSU	Parking Garage Construction	TSSBA (Parking) (A) Plant Funds (Aux-Parking) (A)	\$ 55,000,000	354,300.00	\$ 54,000,000	\$ -	\$ -	\$ 1,000,000	\$ -	\$ -
ETSU	Residence Hall	TSSBA (Parking) (A) Plant Funds (Aux-Housing) (A)	\$ 40,000,000	75,000.00	\$ 38,500,000	\$ -	\$ -	\$ 1,500,000	\$ -	\$ -
MTSU	Addition and Renovations to the Murphy Center	TSSBA Bonds	\$ 66,000,000	24,000.00	\$ 66,000,000	\$ -	\$ -	\$ -	\$ -	\$ -
MTSU	Multi-Sport Indoor Training Facility	TSSBA Bonds	\$ 28,400,000	80,000.00	\$ 28,400,000	\$ -	\$ -	\$ -	\$ -	\$ -
MTSU	New Residence Hall	TSSBA Bonds	\$ 49,500,000	93,000.00	\$ 49,500,000	\$ -	\$ -	\$ -	\$ -	\$ -
MTSU	New Parking Structure	TSSBA Bonds	\$ 27,400,000	250,000.00	\$ 27,400,000	\$ -	\$ -	\$ -	\$ -	\$ -
MTSU	Athletic Video Board Upgrades	TSSBA Bonds	\$ 3,900,000	-	\$ 3,900,000	\$ -	\$ -	\$ -	\$ -	\$ -
TSU	Hale Stadium Upgrades	TSSBA Bonds	\$ 2,200,000		\$ 1,650,000	\$ -	\$ -	\$ -	\$ -	\$ 550,000
TSU	New Football Operations Building	TSSBA Bonds	\$ 41,500,000	52,358.00	\$ 41,500,000	\$ -	\$ -	\$ -	\$ -	\$ -
TSU	New Residence Hall	TSSBA Bonds	\$ 157,000,000	300,000.00	\$ 153,500,000	\$ -	\$ -	\$ -	\$ -	\$ 3,500,000
UoM	Park Avenue Student Housing	TSSBA Bonds	\$ 42,000,000	250,000.00	\$ 42,000,000	\$ -		\$ -	\$ -	\$ -
UTK	Chemistry Building	TSSBA Bonds - (5-years)	\$ 8,443,050	163,300.00	\$ 8,443,050	\$ -		\$ -	\$ -	\$ -
12 Projects	<b>TOTAL TSSBA-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 521,343,050</b>	<b>1,641,958.00</b>	<b>\$ 514,793,050</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,500,000</b>	<b>\$ -</b>	<b>\$ 4,050,000</b>
40 Projects	<b>TOTAL REVENUE- &amp; TSSBA-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 985,658,050</b>	<b>2,182,858.00</b>	<b>\$ 514,793,050</b>	<b>\$ 338,500,000</b>	<b>\$35,850,000</b>	<b>\$29,670,000</b>	<b>\$ -</b>	<b>\$ 66,845,000</b>

# Capital Projects Recommendation

## ATTACHMENT XI

### THEC Five-Year Plan for Capital Outlay - Out Years Summary 2024-25 through 2027-28

			A	B	C = A - B
TBR Capital Outlay Out Years			Total Project Cost	Potential Match	State Funds Request
2024-25	VSCC	Wilson County Higher Education Center	\$31,300,000	\$2,000,000	\$ 29,300,000
2024-25	WSCC	Health Programs Relocation and Renovations	\$52,500,000	\$2,000,000	\$ 50,500,000
2024-25	CoSCC	Economic and Workforce Development Center	\$30,000,000	\$0	\$ 30,000,000
2025-26	MSCC	Rutherford County Building 4 Teaching Site	\$35,000,000	\$1,400,000	\$ 33,600,000
2025-26	RSCC	Cumberland/Campbell Additions	\$12,500,000	\$0	\$ 12,500,000
2025-26	TCAT Covington	Healthcare Building Expansion	\$5,250,000	\$167,534	\$ 5,082,466
2026-27	TCAT Dickson	Diesel Addition	\$4,500,000	\$0	\$ 4,500,000
2026-27	TCAT Jacksboro	Transportation and Logistics Building	\$18,500,000	\$0	\$ 18,500,000
2026-27	TCAT McMinnville	Coffee County Higher Education Center	\$25,000,000	\$0	\$ 25,000,000
2027-28	TCAT Nashville	HVAC/BCT Building	\$11,200,000	\$0	\$ 11,200,000
2027-28	TCAT Ripley	New Classroom Building	\$13,500,000	\$0	\$ 13,500,000
2027-28	TCAT Jackson	Blue Oval Facility	\$9,500,000	\$0	\$ 9,500,000
<b>TBR Total</b>			<b>\$ 248,750,000</b>	<b>\$ 5,567,534</b>	<b>\$ 243,182,466</b>

LGI Capital Outlay Out Years			Total Project Cost	Potential Match	State Funds Request
2024-25	APSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2024-25	ETSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2024-25	MTSU	New Academic Classroom Building	\$ 117,000,000	\$ 9,360,000	\$ 107,640,000
2024-25	TSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2024-25	TTU	Renovate Prescott and Brown Halls	\$ 64,500,000	\$ 2,580,000	\$ 61,920,000
2024-25	U of M	Interdisciplinary Science Research	\$ 68,416,000	\$ 6,841,000	\$ 61,575,000
2025-26	APSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2025-26	ETSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2025-26	MTSU	Bragg Media & Entertainment Addition	\$ 52,000,000	\$ 4,160,000	\$ 47,840,000
2025-26	TSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2025-26	TTU	Biology Building	\$ 80,600,000	\$ 6,448,000	\$ 74,152,000
2025-26	U of M	Research Park	\$ 54,000,000	\$ 5,400,000	\$ 48,600,000
2026-27	APSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2026-27	ETSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2026-27	MTSU	School of Music	\$ 72,000,000	\$ 5,760,000	\$ 66,240,000
2026-27	TSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2026-27	TTU	New Engineering Building	\$ 75,000,000	\$ 6,000,000	\$ 69,000,000
2026-27	U of M	Academic Building Replacement	\$ 83,000,000	\$ 8,300,000	\$ 74,700,000
2027-28	APSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2027-28	ETSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2027-28	MTSU	Physical Education Learning Activities Addition	\$ 27,000,000	\$ 2,160,000	\$ 24,840,000
2027-28	TSU	No Outlay outyear Request	\$ -	\$ -	\$ -
2027-28	TTU	Memorial Gym Renovation	\$ 45,000,000	\$ 1,800,000	\$ 43,200,000
2027-28	U of M	Research Renovation / Physical Plant Relocation	\$ 28,000,000	\$ 2,800,000	\$ 25,200,000
<b>LGI Total</b>			<b>\$ 766,516,000</b>	<b>\$ 61,609,000</b>	<b>\$ 704,907,000</b>

UT Capital Outlay Out Years			Total Project Cost	Potential Match	State Funds Request
2024-25	UTIA	Garden Education & Discovery Center	\$ 30,150,000	\$ 1,246,000	\$ 28,904,000
2024-25	UTM	College of Business & Global Affairs	\$ 50,000,000	\$ 3,000,000	\$ 47,000,000
2024-25	UTC	Research Lab Facility	\$ 100,000,000	\$ 8,000,000	\$ 92,000,000
2025-26	UTIA	CVM Renovation & Expansion	\$ 24,500,000	\$ 12,000,000	\$ 12,500,000
2025-26	UTHSC	Biorepository	\$ 12,600,000	\$ 252,000	\$ 12,348,000
2025-26	UTK	Dabney Hall Renovation	\$ 54,400,000	\$ 3,240,000	\$ 51,160,000
2026-27	UTC	Multi-Discipline Research/Lab Facility	\$ 120,000,000	\$ 9,600,000	\$ 110,400,000
2026-27	UTM	Fine Arts Music Hall & Theatre Renovation	\$ 47,000,000	\$ 2,716,600	\$ 44,283,400
2026-27	UTK	Jessie Harris Bldg. Renovation	\$ 57,240,000	\$ 3,434,400	\$ 53,805,600
2027-28	UTHSC	Coleman Building Renovation	\$ 40,600,000	\$ 812,000	\$ 39,788,000
2027-28	UTIA	North Greenhouse Expansion	\$ 6,950,000	\$ 278,000	\$ 6,672,000
2027-28	UTK	Walters Life Sciences Renovation	\$ 85,000,000	\$ 5,100,000	\$ 79,900,000
<b>UT Total</b>			<b>\$ 628,440,000</b>	<b>\$ 49,679,000</b>	<b>\$ 578,761,000</b>

Capital Maintenance Out Years		Total	Potential Match	State Funds Request
<b>TBR Total</b>		\$ 43,261,300	NA	\$ 43,261,300
<b>LGI Total</b>		\$ 376,778,000	NA	\$ 376,778,000
<b>UT Total</b>		\$ 293,240,000	NA	\$ 293,240,000

Total Out Years		Total	Future Years Match Funds	State Funds Request
<b>Total Capital Outlay Out Years 2024-25 through 2027-28</b>		\$ 1,643,706,000	\$ 116,855,534	\$ 1,526,850,466
<b>Total Capital Maintenance Out Years 2024-25-24 through 2027-28</b>		\$ 713,279,300	NA	\$ 713,279,300
<b>Total Capital Investment 2023-24 through 2026-27</b>		<b>\$ 2,356,985,300</b>	<b>\$ 116,855,534</b>	<b>\$ 2,240,129,766</b>

# Capital Projects Recommendation

## ATTACHMENT XII

### THEC Five-Year Capital Plan

#### 2023-24 through 2027-28

**2023-24 (See Detailed Summary in Attachment I)**

Capital Maintenance	Total Project Cost	Projects	2023-24 Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 56,699,000	59	NA	\$ 56,699,000
LGIs	\$ 93,081,000	42	NA	\$ 93,081,000
UT	\$ 88,640,000	27	NA	\$ 88,640,000
Special Initiative	\$ 131,470,000	16	NA	\$ 131,470,000
<b>Total</b>	<b>\$ 369,890,000</b>	<b>144</b>	<b>NA</b>	<b>\$ 369,890,000</b>
<b>Capital Outlay</b>				
TBR	\$ 132,600,000	3	\$ 5,164,000	\$ 127,436,000
LGIs	\$ 403,787,500	5	\$ 11,719,695	\$ 217,580,305
UT	\$ 262,310,000	3	\$ 56,067,600	\$ 206,242,400
<b>Demolition</b>	<b>\$ 5,990,000</b>	<b>17</b>	<b>NA</b>	<b>\$ 5,990,000</b>
<b>Total</b>	<b>\$ 804,687,500</b>	<b>28</b>	<b>\$ 72,951,295</b>	<b>\$ 557,248,705</b>
<b>Total Capital Investment</b>	<b>\$ 1,174,577,500</b>	<b>172</b>	<b>\$ 72,951,295</b>	<b>\$ 927,138,705</b>

**2024-25**

Capital Maintenance	Total Project Cost	Projects	Total Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 7,080,300	7	NA	\$ 7,080,300
LGIs	\$ 112,944,000	38	NA	\$ 112,944,000
UT	\$ 66,920,000	18	NA	\$ 66,920,000
Special Initiative	\$ -	-	NA	\$ -
<b>Total</b>	<b>\$ 186,944,300</b>	<b>63</b>	<b>NA</b>	<b>\$ 186,944,300</b>
<b>Capital Outlay</b>				
TBR	\$ 113,800,000	3	\$ 4,000,000	\$ 109,800,000
LGIs	\$ 249,916,000	6	\$ 18,781,000	\$ 231,135,000
UT	\$ 180,150,000	3	\$ 12,246,000	\$ 167,904,000
<b>Demolition</b>	<b>\$ -</b>	<b>NA</b>	<b>NA</b>	<b>\$ -</b>
<b>Total</b>	<b>\$ 543,866,000</b>	<b>12</b>	<b>\$ 35,027,000</b>	<b>\$ 508,839,000</b>
<b>Total Capital Investment</b>	<b>\$ 730,810,300</b>	<b>75</b>	<b>\$ 35,027,000</b>	<b>\$ 695,783,300</b>

**2025-26**

Capital Maintenance	Total Project Cost	Projects	Total Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 11,476,000	10	NA	\$ 11,476,000
LGIs	\$ 94,978,000	34	NA	\$ 94,978,000
UT	\$ 76,970,000	21	NA	\$ 76,970,000
Special Initiative	\$ -	-	NA	\$ -
<b>Total</b>	<b>\$ 183,424,000</b>	<b>65</b>	<b>NA</b>	<b>\$ 183,424,000</b>
<b>Capital Outlay</b>				
TBR	\$ 52,750,000	3	\$ 1,567,534	\$ 51,182,466
LGIs	\$ 186,600,000	6	\$ 16,008,000	\$ 170,592,000
UT	\$ 91,500,000	3	\$ 15,492,000	\$ 76,008,000
<b>Demolition</b>	<b>\$ -</b>	<b>NA</b>	<b>NA</b>	<b>\$ -</b>
<b>Total</b>	<b>\$ 330,850,000</b>	<b>12</b>	<b>\$ 33,067,534</b>	<b>\$ 297,782,466</b>
<b>Total Capital Investment</b>	<b>\$ 514,274,000</b>	<b>77</b>	<b>\$ 33,067,534</b>	<b>\$ 481,206,466</b>

**2026-27**

Capital Maintenance	Total Project Cost	Projects	Total Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 11,624,000	10	NA	\$ 11,624,000
LGIs	\$ 83,228,000	30	NA	\$ 83,228,000
UT	\$ 73,270,000	24	NA	\$ 73,270,000
Special Initiative	\$ -	-	NA	\$ -
<b>Total</b>	<b>\$ 168,122,000</b>	<b>64</b>	<b>NA</b>	<b>\$ 168,122,000</b>
<b>Capital Outlay</b>				
TBR	\$ 48,000,000	3	\$ -	\$ 48,000,000
LGIs	\$ 230,000,000	6	\$ 20,060,000	\$ 209,940,000
UT	\$ 224,240,000	3	\$ 15,751,000	\$ 208,489,000
<b>Demolition</b>	<b>\$ -</b>	<b>NA</b>	<b>NA</b>	<b>\$ -</b>
<b>Total</b>	<b>\$ 502,240,000</b>	<b>12</b>	<b>\$ 35,811,000</b>	<b>\$ 466,429,000</b>
<b>Total Capital Investment</b>	<b>\$ 670,362,000</b>	<b>76</b>	<b>\$ 35,811,000</b>	<b>\$ 634,551,000</b>

**2027-28**

Capital Maintenance	Total Project Cost	Projects	Total Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 13,081,000	10	NA	\$ 13,081,000
LGIs	\$ 85,628,000	28	NA	\$ 85,628,000
UT	\$ 76,080,000	26	NA	\$ 76,080,000
Special Initiative	\$ -	-	NA	\$ -
<b>Total</b>	<b>\$ 174,789,000</b>	<b>64</b>	<b>NA</b>	<b>\$ 174,789,000</b>
<b>Capital Outlay</b>				
TBR	\$ 34,200,000	3	\$ -	\$ 34,200,000
LGIs	\$ 100,000,000	6	\$ 6,760,000	\$ 93,240,000
UT	\$ 132,550,000	3	\$ 6,190,000	\$ 126,360,000
<b>Demolition</b>	<b>\$ -</b>	<b>NA</b>	<b>NA</b>	<b>\$ -</b>
<b>Total</b>	<b>\$ 266,750,000</b>	<b>12</b>	<b>\$ 12,950,000</b>	<b>\$ 253,800,000</b>
<b>Total Capital Investment</b>	<b>\$ 441,539,000</b>	<b>76</b>	<b>\$ 12,950,000</b>	<b>\$ 428,589,000</b>

**Five-Year Total**

Capital Maintenance	Total Project Cost	Projects	Total Match Funds <sup>1</sup>	State Funds Request
TBR	\$ 99,960,300	96	NA	\$ 99,960,300
LGIs	\$ 469,859,000	172	NA	\$ 469,859,000
UT	\$ 381,880,000	116	NA	\$ 381,880,000
Special Initiative	\$ 131,470,000	16	NA	\$ 131,470,000
<b>Total</b>	<b>\$ 1,083,169,300</b>	<b>400</b>	<b>NA</b>	<b>\$ 1,083,169,300</b>
<b>Capital Outlay</b>				
TBR	\$ 381,350,000	15	\$ 10,731,534	\$ 370,618,466
LGIs	\$ 1,170,303,500	29	\$ 73,328,695	\$ 922,487,305
UT	\$ 890,750,000	15	\$ 105,746,600	\$ 785,003,400
<b>Demolition</b>	<b>\$ 5,990,000</b>	<b>17</b>	<b>NA</b>	<b>\$ 5,990,000</b>
<b>Total</b>	<b>\$ 2,448,393,500</b>	<b>76</b>	<b>\$ 189,806,829</b>	<b>\$ 2,084,099,171</b>
<b>Total Capital Investment</b>	<b>\$ 3,531,562,800</b>	<b>476</b>	<b>\$ 189,806,829</b>	<b>\$ 3,167,268,471</b>

1 - Match is applicable to the entire scope of each capital outlay project.

## University Curriculum Committee Report

Faculty Senate  
November 14, 2022

### Old Business

Items	Depts
Medical, Cellular & Molecular Biology Concentration	<b><i>Implementation Fall 2023</i></b> Approved 10.17.22, electives will be listed as BIOL 3000 to 4999 for simplicity.
Ecology, Evolution, & Organismal Biology Concentration	<b><i>Implementation Fall 2023</i></b> Approved 10.17.22, electives will be listed as BIOL 3000 to 4999 for simplicity. Internship courses removed from option.

### Consent Agenda Items

*Gen. Ed./Core Items:* None

All items were approved by the University Curriculum Committee. All items require final approval by the Provost/SVP Academic Affairs.

Items	Depts
Course Deletions	<b><i>Implementation Spring 2023</i></b> GREK 3510, GREK 3610, GREK 3620, GREK 3710, GREK 3720, GREK 3810, GREK 3910, GREK 4210 (These courses are no longer necessary as GREK 3999 [Readings in Ancient Greek Literature] has been fully approved by the curriculum). LATN 3510, LATN 3610, LATN 3620, LATN 3710, LATN 3720, LATN 3810, LATN 4210, LATN 4300, LATN 4310, LATN 4410 (These courses are no longer necessary as LATN 3999 [Readings in Latin Literature] has been fully approved by the curriculum). MUS 5210—Music in Special Education (not been taught in 6 years and is only an elective in one of the least popular cognates within Music Education).
Course Title Updates	<b><i>Implementation Fall 2023</i></b> BUS 3310—Business Analytics (Old: Data Analytics & Statistics) CSCI 3005—Graphical User Interfaces (Old: Object Oriented Programming) ENGT 3880—Energy Conversion (Old: Direct Energy Conversion) THEA 4350—Acting Workshop (Classical Text & Performance) <b><i>Implementation Spring 2023</i></b> CRJ 3150—Fundamentals of Corrections (Old: Penology & Study of Corrections) ENGL 1210—English as a Second Language I (Old: English as a Second Language)

ENGL 1220—English as a Second Language II (Old: English as a Second Language)  
MUS 3265—Functional Techniques for Classroom Instruments (Old: Instrumental Methods for Children’s Music)  
MUS 4550—Music for Winds and Percussion (Old: Music for the Band)

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***Implementation Fall 2023***

BUS 3310—Business Analytics  
AVI 1100—Rotor-Wing Private Pilot Lab I  
AVI 2100—Rotor-Wing Private Pilot Lab II  
AVI 2120—Instrumental Flight Operations Lab I  
AVI 2140—Instrument Flight Operations Lab II  
AVI 3100—Commercial Flight Operations Lab I  
AVI 3120—Commercial Flight Operations Lab II  
AVI 3140—Certified Flight Instructor Lab I  
AVI 4100—Certified Flight Instructor Lab II  
AVI 4120—Certified Flight Instructor Instrument Lab  
CSCI 3005—Graphical User Interfaces  
CSCI 4018—Cloud Computing  
CSCI 4550—Computer Graphics  
ENGT 1000—Introduction to Engineering & Engineering Technology  
ENGT 1200—Applied Mathematics for Engineering Technology  
ENGT 1400—Applied Calculus for Engineering Technology  
ENGT 2000---Manufacturing Processes  
ENGT 2010—DC Circuits and Applications  
ENGT 2020—Robotics Fundamentals  
ENGT 2030—AC Circuits and Applications  
ENGT 2200—Electronics Fundamentals I  
ENGT 2220—Digital Design I  
ENGT 2240—Electronics Fundamentals II  
ENGT 2250—Digital Design II  
ENGT 2260—Microcontrollers  
ENGT 2730—Introduction to Solid Modeling  
ENGT 3000—Materials Science  
ENGT 3010—Engineering Economics  
ENGT 3020—Statics and Strength Materials  
ENGT 3050—Problem Solving in Engineering Technology  
ENGT 3100—Robotic Applications  
ENGT 3130—Additive Manufacturing Technology  
ENGT 3280—Communication Systems I  
ENGT 3650—Statistical Quality Control  
ENGT 3820—Technology and Society  
ENGT 3840—Dynamics  
ENGT 3880—Energy Conversion  
ENGT 4130—Additive Manufacturing Applications  
ENGT 4150—Programmable Logic Controls  
ENGT 4210—Industrial Automated Systems  
ENGT 4220—Communication Systems II  
ENGT 4250—Linear Electronics and Capstone Experience  
ENGT 4710—Fluid Mechanics  
ENGT 4730—Applied Solid Modeling  
ENGT 4800—Machine Design

Course Description Updates

ENGT 4820—Vibrations and Noise in Mechanical Systems  
 ENGT 4850—Computer Integrated Manufacturing  
 ENGT 4880—Refrigeration Machines and Power Systems  
 ENGT 4895—Mechanical Capstone  
 THEA 3010—Theatre History I  
 THEA 4350—Acting Workshop  
**Implementation Spring 2023**  
 GREK 1010—Introductory to Greek I  
 GREK 1020—Introductory to Greek II  
 STAT 4290—Introduction to Predictive Analytics

**Implementation Spring 2023**

Minor Description Additions

Applied Statistics  
 Geology Minor

**Implementation Spring 2023**

Course Prerequisite Updates

CHEM 4350—Physical Biochemistry  
 CHEM 4351—Physical Biochemistry Lab  
**Implementation Fall 2023**  
 CSCI 4550—Computer Graphics

**Implementation Fall 2023**

Change in Course Credit Hours

ASIA 4999—Asian Studies Portfolio (updating from one to three)  
**Implementation Spring 2023**  
 PSYC 4850—Honors: Field Experience (updating to variable)

**Implementation Fall 2023**

Change in Course Number

THEA 4350—Acting Workshop (updating from 3300 to 4350)

## Action Agenda Items

*Gen. Ed./Core Items:* None

All items were approved by the University Curriculum Committee. Department representatives provided context, with committee members and representative discussing items as needed for clarification. Final approval required by the Provost/SVP Academic Affairs.

Dept./Rep.	Action Item	Description	Implementation Date
<b>College of Arts &amp; Letters</b>			
Language & Literature— Osvaldo Di Paolo Harrison	Undergrad. Program Modification	Spanish, BA <ul style="list-style-type: none"> <li>Adjust concentration requirements to allow for more flexibility.</li> <li>Reduce required hours from 43 to 37.</li> <li>Allow more course options and less rigid curriculum.</li> </ul>	Fall 2023
	Undergrad. New Course	WGST 3090—Queer Film	Spring 2023
History & Philosophy— Greg Hammon & Jordy Rocheleau	Undergrad. Program Modification	Asian Studies Minor <ul style="list-style-type: none"> <li>Updating minor requirements to reflect changes in credit hours for beginner language courses</li> </ul>	Fall 2023

		Philosophical Studies concentration in BA/BS Philosophy & Religion <ul style="list-style-type: none"> <li>Updating requirements to have a new Philosophy &amp; Religion core added.</li> </ul>	Fall 2023
Music—Kristen Sienkiewicz	Graduate New Course	MUS 5775—Folk Music Ensemble	Spring 2023
Theatre & Dance—Dale Pickard	Undergrad. New Course	THEA 4510—Stage Management Seminar	Fall 2023
<b>College of Behavioral and Health Sciences</b>			
Health & Human Performance—Tim Leszczak	Undergrad. Program Modification	Sport & Wellness Specialization in BS HHP <ul style="list-style-type: none"> <li>Removing required concentration courses</li> <li>Updating course elective groups</li> </ul>	Fall 2023
Psychological Science & Counseling—Nicole Knickmeyer	Undergrad. Course Revise	PSYC 4360—Introduction to Psychopathology <ul style="list-style-type: none"> <li>Updating the grade mode to allow for grade of “D” to be awarded</li> </ul>	Spring 2023
<b>Martha Dickerson Eriksson College of Education</b>			
Educational Specialties—Benita Bruster	Graduate Program Modification	Educational Leadership, Ed D <ul style="list-style-type: none"> <li>Add additional advanced methodology courses to major core. Students to have choice to take Advanced Qualitative Methods, Mixed Methods Research, or Applied Statistics II</li> </ul>	Fall 2023
		Literacy Studies in Educational Leadership, Ed D <ul style="list-style-type: none"> <li>Creating a new concentration in Literacy Studies. Will assist students who are wishing to work P-12 or higher education literacy contexts or work in leading literacy-related fields.</li> </ul>	Fall 2023
	Graduate New Courses	SCI 7330—Advanced Research on Problems in Science Education	Summer 2023
		SCI 7550—Socioscientific Issues in Education	Summer 2023
		SCI 7770—Leading Integration of STEM Instruction	Summer 2023
Teaching & Learning—Benita Bruster	Undergrad. Program Modification	6-8 Middle School (Math) Concentration in BS Education <ul style="list-style-type: none"> <li>Updating the concentration requirements to include the residency cohort as an option when students reach the semester for student teaching.</li> </ul>	Fall 2023
		6-8 Middle School (Science) concentration in BS Education <ul style="list-style-type: none"> <li>Updating the concentration requirements to include the residency cohort as an</li> </ul>	Fall 2023

		option when students reach the semester for student teaching.	
		K-5 Licensure concentration in BS Education <ul style="list-style-type: none"><li>Updating the concentration requirements to include the residency cohort as an option when students reach the semester for student teaching.</li></ul>	Fall 2023

**Austin Peay State  
University****Conflict of Interest****POLICIES****Issued:** June 10, 2022**Responsible Official:** Vice President for Legal Affairs and  
Organizational Strategy**Responsible Office:** Office of Legal Affairs

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**Policy Statement**

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It is the policy of Austin Peay State University that employees should avoid external commitments which significantly interfere with the employee's duties to the Board of Trustees and the University. Employees should avoid situations where the self-interests of the Trustees or employee diverge from the best interests of the Board and the University. Board members should avoid external commitments that interfere with their fiduciary obligations to Austin Peay.

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**Purpose**

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The purpose of this policy is to define the general principles which should guide the actions of members of the Board and of employees; offer illustrations of activities which potentially constitute a conflict of interest; make Board members and employees aware of disclosure requirements related to conflicts of interest; describe the process by which those disclosures shall be evaluated and decisions rendered; and describe the appeals process regarding such decisions.

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- Conflict of commitment
- Family member
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### Links

-APSU Conflict of Interest Committee

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### Definitions

<b>Conflict of interest</b>	Occurs when the personal interests, financial or otherwise, of a person who owes a duty to the Austin Peay State University Board of Trustees and its constituent University (Trustees and all employees) actually or potentially diverge with the person's professional obligations to and the best interests of the Board and the University.
<b>Conflict of commitment</b>	Occurs when the personal or other non-work related activities of an employee of the Board of Trustee and its constituent University impair the ability of that employee to meet their commitments of time and energy to the Board of Trustee and the University.
<b>Family member</b>	Includes the spouse and children (both dependent and non-dependent) of a person covered by this policy.
<b>Immediate family</b>	For purposes of Section VI.A.1.b.(2)(c), means spouse, dependent children or stepchildren, or relatives related by blood or marriage.

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### Procedures

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| <b>I. Objectives of the Conflicts of Interest Policy</b> | <b>A.</b> Members of the APSU Board of Trustees and all employees of APSU all serve the interests of the State of Tennessee and its citizens, and have a duty to avoid activities and situations which, either actually or potentially, put personal interests |
|--|--|

before the professional obligations which they owe to the State and its citizens.

## **II. Pertinent Federal Regulations, State Laws, and APSU Policies**

- A. The following lists are intended to indicate sources of information which may provide additional guidance regarding conflict of interest situations.
- B. This policy is intended to be consistent with all pertinent Federal and State laws, regulations, and policies, as well as with other APSU policies.
- C. To the extent that conflicts arise, Federal and State laws, regulations, and policies shall take precedence.
- D. The lists are not intended to be exhaustive and additional laws, regulations, and policies may be implicated in a given conflict of interest situation.
  - 1. Federal Regulations
    - a. The National Science Foundation (NSF) and the Department of Health and Human Services (HHS), acting through the Public Health Service (PHS) (which includes the National Institutes of Health (NIH)), have promulgated policies and regulations regarding conflicts of interest and disclosure of financial interests by investigators who receive funding from these Federal agencies.
    - b. The NSF policy regarding researcher conflicts of interest is contained in Section 510 of NSF Publication 95-26, the Grant Policy Manual. The PHS regulations, upon which the NSF policy is modeled, are contained in the Code of Federal Regulations at 42 CFR 50.601 et seq. and 45 CFR 94.1 et seq.
    - c. Other Federal agencies (e.g., the Veterans' Administration or the Food and Drug Administration) may require as a condition to a contract, disclosure and management of conflicts of interest (see, for example, Veterans' Administration Acquisition Regulation 852.209-70).
  - 2. Tennessee State Law
    - a. Various statutes contained in the Tennessee Code Annotated (T.C.A.) are pertinent to the issue of conflicts of interest within the Board of Trustees and the University, including:
      - 1. T.C.A. § 8-50-501, Disclosure statements of conflict of interests by certain public officials

2. T.C.A. § 12-2-208, Purchase by officer unlawful – penalty for violation
3. T.C.A. § 12-2-415, State surplus property disposition regulation
4. T.C.A. § 12-2-416, Violation of § 12-2-415
5. T.C.A. § 12-2-417, State employee violation – punishment
6. T.C.A. § 12-4-106, Prohibition against receiving rebates, gifts, money or anything of value -- Conflict of interest
7. T.C.A. § 12-4-101, Personal interest of officers prohibited
8. T.C.A. § 12-4-102, Penalty for unlawful interest
9. T.C.A. § 12-4-103, Bidding by state employees prohibited
10. T.C.A. § 12-4-104, Penalty for unlawful transactions
11. T.C.A. § 49-8-203(d), Powers and duties (of the Board of Trustees)
- b. It is significant to note that violation of some of these statutes may lead to criminal penalties (e.g., violation of T.C.A. § 12-4-103 is a Class E felony).
3. APSU Policies
  - a. The following APSU policies deal with issues which implicate conflict of interest situations:
    1. APSU Policy 4:014, Purchasing Policy
    2. APSU Policy 4:019, Disposal of Surplus Personal Property
    3. APSU Policy 5:014, Outside Employment and Extra Compensation
    4. APSU Policy 2:047, Intellectual Property, Patents, and Copyrights
    5. APSU Policy 5:035, Nepotism

### **III. Applicability**

- A. This policy shall apply to all persons serving as members of the Board of Trustees and to all persons employed (either as full-time, part-time or temporary employees) by the University.

### **IV. General Principles**

- A. It is the policy of Austin Peay State University that employees should avoid external commitments which significantly interfere with the employee's duties to the Board of Trustees and the University (conflicts of commitment). See also APSU Policy 5:014, Outside Employment and Extra Compensation. Disclosures of

conflicts of commitment shall be made as required under Policy 5:014 and evaluated as indicated in that policy.

- B. It is the further policy of Austin Peay State University that both the Trustees and employees should avoid situations where the self-interests of the Trustees or employees diverge from the best interests of the University (conflict of interest).
- C. The mere existence of either a potential or actual conflict of interest does not mean that such conflict must necessarily be eliminated.
  - 1. Where the potential detriment to the Board and the University is at most minor and inconsequential, and the conflict does not indicate violation of Federal or State law, regulation, or policy, those persons charged with evaluating disclosures should allow the activity to proceed without interference.
  - 2. For those situations which do not implicate Federal or State law, regulation or policy, the standard by which it should be determined whether a conflict of interest should be managed, reduced, or eliminated is whether that conflict would appear to a reasonable person to call into question the integrity or judgment of the affected Trustee or employee.

**V. Situations and Activities Creating a Conflict of Interest**

- A. In the following situations and activities, there is at least the appearance, and possibly the actuality, of an employee/Trustee allowing his or her personal interests, and not the best interests of the Board of Trustees and its constituent University, to affect that employee's judgments. This list is illustrative, and not exhaustive.
  - 1. Self-dealing
    - a. Situations in which a Trustee or employee can appear to influence or actually influence a University-related decision from which that person or a member of that person's family stands to realize a personal financial benefit is self-dealing, and a conflict of interest.
    - b. Examples of self-dealing activities are numerous, and include those listed below.
      - 1. Purchase of State-owned property by an employee absent fair and open bidding.
        - 1. It is unlawful for any state employee to purchase surplus state-owned property absent a fair and open bidding process (see T.C.A. § 12-2-208 and T.C.A. § 12-2-417).

2. Such purchases are also prohibited under APSU Policy 4:019.
2. Institutional purchases from businesses in which an employee or family member has a financial interest.
  1. T.C.A. § 12-4-103 declares that it is unlawful for any state official or employee to “bid on, sell, or offer for sale, any merchandise, equipment or material, or similar commodity, to the state of Tennessee” or “to have any interest in the selling of the same to the state” during that person’s term of employment and for six months thereafter.
  2. Disclosure of any such transaction by an employee or member of the employee’s family or by a business in which an employee or member of the employee’s family has any significant (more than 4%) ownership interest or for which an employee or employee family member serves as an officer is required by this policy.
  3. T.C.A. § 12-4-106(b) declares that it is a conflict of interest for any person or any company with whom such person is an officer, a director, or an equity owner of greater than 1% interest to bid on any public contract for products or services for a governmental entity if such person or “immediate family” of such person is a member of a board or commission having responsibility for letting or approving such contract.
  4. For purposes of this section only, “immediate family” means spouse, dependent children or stepchildren, or relatives related by blood or marriage.
3. Use of Educational Materials from Which a Faculty Member Derives Financial Benefit in That faculty Member’s Teaching Activities.
  1. Any faculty member who wishes to use in his or her teaching activities educational materials (e.g. a textbook) which he or she has authored, or in which he or she otherwise stands to benefit financially from such use, a conflict of interest disclosure shall be made per Section VII of this policy.

2. Whether the use of such materials shall be permitted shall be evaluated either under the terms of Institutional policy, or in the absence of such policy, by the Review Committee established under Section XI of this policy.
3. Such evaluation shall include consideration of suitable substitute materials and ensure that the needs of students are best served by use of the materials in which the faculty member has an interest.
4. Acceptance of Gifts, Gratuities, or Favors
  1. Gifts. No employee shall knowingly solicit or accept, directly, or indirectly, on behalf of himself or herself or any member of the employee's household, for personal use or consumption any gift, including but not limited to any gratuity, service, favor, food, entertainment, lodging, transportation, loan, loan guarantee or any other thing of monetary value, from any person or entity that:
    1. Has, or is seeking to obtain, contractual or other business or financial relations with the institution in which the individual is employed; or
    2. Has interests that may be substantially affected by the performance or nonperformance of the employee.
5. Exceptions
  1. The prohibition on accepting gifts in Section (4)(a) above, does not apply to:
    1. A gift given by a member of the employee's immediate family, or by an individual, if the gift is given for a non-business purpose and is motivated by a close personal friendship and not by the position of the employee;
    2. Informational materials in the form of books, articles, periodicals, other written materials, audiotapes, videotapes, or other forms of communication.
    3. Sample merchandise, promotional items, and appreciation tokens, if they are routinely given to customers, suppliers or potential customers or suppliers in the ordinary course of business, including items distributed at tradeshow and

professional meetings where vendors display and promote their services and products;

4. Food, refreshments, foodstuffs, entertainment, or beverages provided as part of a meal or other event, including tradeshow and professional meetings, if the value of such items does not exceed fifty dollars (\$50.00) per occasion; provided further, that the value of a gift made pursuant to this subsection may not be reduced below the monetary limit by dividing the cost of the gift among two or more persons or entities identified in Section VI.A.1.b.(4).
  5. There may be circumstances where refusal or reimbursement of a gift (such as a lunch or dinner) may be awkward and contrary to the larger interests of the institution. In such circumstances, the employee is to use his or her best judgment, and disclose the gift including a description, estimated value, the person or entity providing the gift, and any explanation necessary within fourteen (14) days to their immediate supervisor;
  6. Food, refreshments, meals, foodstuffs, entertainment, beverages or intrastate travel expenses that are provided in connection with an event where the employee is a speaker or part of a panel discussion at a scheduled meeting of an established or recognized membership organization which has regular meetings;
  7. Participation in institution or foundation fundraising and public relations activities, i.e. golf tournaments and banquets, where persons or entities identified in Section VI.A.1.b.(4) provide sponsorships; and
  8. Loans from established financial institutions made in the ordinary course of business on usual and customary terms, so long as there are no guarantees or collateral provided by any person described in Section VI.A.1.b.(4)
2. Inappropriate use of students or support staff

- a. Employees shall ensure that the activities of students or support staff are not exploited for the benefit of any external activity of the faculty member.
- b. Prior to assigning any such non-Institutionally related task (which is more than incidental or de minimus in nature) to a student or member of the support staff, an employee shall disclose such proposed activities and obtain approval.
- 3. Inappropriate use of State owned resources
  - a. Employees may not make significant use of State owned facilities, equipment, materials or other resources, not otherwise available to the public, in the course of activities which are not related to the Institution and which are intended for personal benefit, without prior disclosure and approval.
- 4. Failure to disclose intellectual property
  - a. APSU Policy 2:047 governs the rights and responsibilities which persons affiliated with the Board of Trustees and the University have regarding intellectual property developed during the term of their affiliation with the University.
  - b. Among the responsibilities enumerated in the policy is that of disclosure of inventions and those copyrightable works which may be reasonably expected to have commercial value which they have jointly or solely developed with the Board of Trustees and the University.

## **VI. General Disclosure Requirements**

- A. Persons to whom this policy applies who believe that a conflict of interest may exist either personally or with respect to another person covered by this policy shall make a written disclosure of the facts and circumstances surrounding the situation.
- B. No particular format is required, but the disclosure should adequately describe the pertinent facts and circumstances.
- C. For members of the Board, disclosure shall be made to the Secretary of the Board.
- D. A University employee who self-discloses a potential conflict of interest or a written report of a potential conflict of interest is presented to the Conflict of Interest Committee.
- E. Disclosures made by a President shall be submitted to the Director of Internal Audit.

- F. Potential conflicts of interest shall be disclosed in a timely manner, and whenever possible, prior to engaging in a potential conflict of interest.

**VII. Special Disclosure Requirements for Instructors of Record**

Instructors of record who have a student relative (refer to the definitions of family members/immediate family on page 2 of the conflict of interest policy) in their own class need to immediately disclose that information to their direct supervisor and to the conflict of interest committee chair. In lower level courses that have multiple sections taught by several instructors, a student relative must enroll in a section that is not taught by a family member. An exception will be granted if it is necessary for a student relative to enroll in a family member's course due to the specific program requirements. In such a case, the following disclosure steps should be followed:

1. The instructor of record shall immediately disclose to their direct supervisor and the conflict of interest committee chair that a student relative is enrolled in their class. The instructor of record shall provide a specific grading/assessment plan approved by their direct supervisor and the conflict of interest committee to ensure that the student relative is graded fairly.

a. The options for grading include the following:

- i. If there are other subject experts in the department or university, the instructor of record shall have another faculty member with similar expertise grade the exams and assignments using course rubrics.

OR

- ii. If the instructor of record is the only available expert in the course, the instructor of record shall grade the exams and assignments. Another faculty member within the department or university shall review the grading using course rubrics. The faculty member reviewing the grading should be provided with all relevant course notes and materials to assist in grade review. Any conflicts between the instructor of record and the faculty reviewer will be resolved by the chair or their direct supervisor if the chair is the instructor of record for the course.

2. The instructor of record shall submit the supervisor's approval email, explanation of the relationship, and

specific grading/assessment plan to the university conflict of interest committee chair within 10 business days of identifying the conflict of interest. The conflict of interest committee chair shares the information with the conflict of interest committee. The conflict of interest committee will make the final approval on whether the conflict of interest has been resolved.

**VIII. Special Disclosure Requirements for Researchers Applying For or Receiving NSF or PHS Funding**

- A. Under the policies and regulations indicated under Section 2.1 herein, investigators seeking funding from either the National Science Foundation or the Public Health Service are required to disclose to the investigator's employer all significant financial interests of the investigator;
1. That would reasonably appear to be affected by the research or educational activities funded or proposed for funding by the NSF or PHS; or
  2. In entities whose financial interests would reasonably appear to be affected by such activities.
    - a. Such disclosures must be submitted prior to the time the proposal is submitted to the Federal agency.
    - b. Further, such disclosures must be updated during the period of the award; either annually or as new reportable financial interests arise.
    - c. The University is responsible for eliminating or managing such conflicts prior to receipt of the award.
    - d. To facilitate such disclosures, the accompanying disclosure form is available.
  3. The University is responsible for determining if a grant, services, or other contract with Federal agencies other than the Public Health Service or the National Science Foundation requires disclosure and / or management of conflicts of interest, and for ensuring that any such requirements are met.
  4. Disclosure of financial interests made pursuant to this Section ~~IX~~VIII notwithstanding, such disclosure does not eliminate the responsibility for making disclosures under Section ~~VIII~~VII, when specific conflict of interest situations arise.

**IX. Special Disclosure Requirements for Trustees and Certain APSU Employees**

- A. Members of the Board of Trustees, the President, coaches, assistant coaches and employees of athletic departments who are exempt from the provisions of the Fair Labor Standards Act are required to file a financial disclosure form within one month of their initial appointment and annually thereafter.
- B. Disclosure of financial interests made pursuant to this Section ~~IX~~ notwithstanding, such disclosure does not eliminate the responsibility for making disclosures under Section ~~VIII~~VII, when specific conflict of interest situations arise

1. Members of the Board of Trustees shall make their disclosure using a form as indicated and submitted to the Secretary of the Board annually.
2. The President is required by Tenn. Code Ann. § 8-50-501(a)(15) to file an online Statement of Disclosure of Interests Form with the Tennessee Ethics Commission at <https://apps.tn.gov/conflict/>, Form ss-8005 (State Officials) in January. For the Presidents this Statement of Disclosure will meet the requirements of this Policy.
3. Coaches, assistant coaches, exempt employees of the athletic department and other University personnel required to complete a disclosure form shall also use the Tennessee Ethics Commission Form ss-8005, Statement of Disclosure of Interest Form and accompanying instructions in January. The form shall be submitted to the Human Resource Office or other person designated by the President.

#### **X. Review of Disclosures**

- A. Disclosures made under Section VII of this policy by a member of the Board of Trustee. A member of the Board making a disclosure shall not be entitled to vote regarding disposition of the disclosure.
- B. A University employee who self-discloses a potential conflict of interest or a written report of a potential conflict of interest is presented to the Conflict of Interest Committee.
  1. The Chair of the Conflict of Interest report submits the disclosure report to the Conflict of Interest Committee.
  2. The Conflict of Interest Committee reviews details of possible Conflict of Interest.
  3. The Conflict of Interest Committee evaluates the proposed Conflict of Interest and seeks legal input from the APSU Office of Legal Affairs when indicated in reviewing of the report.
  4. The Conflict of Interest Committee renders a decision regarding the issue around the disclosure, determining if there is a conflict of interest and how it should be managed (restrictions to be placed on the individual to manage the conflict or that the person must eliminate the conflict).
  5. The Conflict of Interest Committee notifies in writing the person of the outcome, and employee is given an opportunity to appear before the committee.
  6. The disclosures and Committee decisions are forwarded to the President.

## XI. Sanctions

- A. Failure to observe restrictions imposed as a result of review of a conflict of interest disclosure or a knowing failure to disclose a conflict of interest may result in disciplinary proceedings under University policy. A Board member who knowingly fails to disclose a conflict of interest shall be subject to removal.

## XII. Appeals

- A. Decisions made by the Board of Trustees may not be appealed.
- B. Decisions made by the University Review Committee may be appealed to the President. Decisions of the President shall be final and binding.

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### Links

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**APSU Conflict of Interest Committee** <https://www.apsu.edu/governance/committees/conflictinterest.php>

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### Revision Dates

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APSU Policy 1:001 – Rev.: June 10, 2022  
APSU Policy 1:001 – Rev.: September 18, 2020  
APSU Policy 1:001 (previously 5:056) – Rev.: March 30, 2017  
APSU Policy 1:001 – Rev.: April 11, 2012  
APSU Policy 1:001 – Rev.: September 2, 2008  
APSU Policy 1:001 – Rev.: January 13, 2003  
APSU Policy 1:001 – Issued: February 10, 1999

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### Subject Areas:

Academic	Finance	General	Human Resources	Information Technology	Student Affairs
		<input checked="" type="checkbox"/>			

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### Approved

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President: signature on file

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